

# *Candida auris* Clinical Isolates Associated with Outbreak in Neonatal Unit of Tertiary Academic Hospital, South Africa

Dikeledi Kekana, Serisha D. Naicker, Liliwe Shuping, Sithembiso Velaphi, Firdose L. Nakwa, Jeannette Wadula, Nelesh P. Govender, for GERMS-SA<sup>1</sup>

*Candida auris* was first detected at a university-affiliated hospital in Johannesburg, South Africa, in 2009. We used whole-genome sequencing to describe the molecular epidemiology of *C. auris* in the same hospital during 2016–2020; the neonatal unit had a persistent outbreak beginning in June 2019. Of 287 cases with culture-confirmed *C. auris* infection identified through laboratory surveillance, 207 (72%) had viable isolates and 188 (66%) were processed for whole-genome sequencing. Clade III (118/188, 63%) and IV (70/188, 37%) isolates co-circulated in the hospital. All 181/188 isolates that had a fluconazole MIC  $\geq 32$   $\mu\text{g/mL}$  had *ERG11* mutations; clade III isolates had VF125AL substitutions, and clade IV isolates had K177R/N335S/E343D substitutions. Dominated by clade III, the neonatal unit outbreak accounted for 32% (91/287) of all cases during the study period. The outbreak may have originated through transmission from infected or colonized patients, colonized healthcare workers, or contaminated equipment/environment.

*Candida auris* is a multidrug-resistant yeast that causes invasive infections with high associated mortality in acute and long-term healthcare facilities worldwide (1,2). Although *C. auris* was initially described in 2009 in Japan, the earliest cases of infection in South Africa were reported in 2014 (3). Those cases prompted a retrospective review of

surveillance isolates that identified a case of *C. auris* bloodstream infection in 2009 at a Johannesburg academic hospital (4). The rapid emergence and international spread during 2014–2023, high crude mortality rates (30%–60%), and antifungal resistance make *C. auris* a global public health concern; the World Health Organization has designated it a critical-priority fungal pathogen (5,6).

Neonatal outbreaks caused by *C. auris* are occasionally documented, although early outbreaks in South Africa occurred mainly among critically ill adults (7). In more recent years, *C. auris* has caused outbreaks involving neonates and has subsequently become endemic in many hospitals in South Africa (7–10). *C. parapsilosis* has been a major pathogen causing late-onset sepsis among hospitalized neonates in low- and middle-income countries because of its ability to colonize the skin of hospitalized patients and healthcare workers, persist on hospital surfaces, and adhere to indwelling medical devices, characteristics that are also applicable to *C. auris* (11,12).

*C. auris* is classified into several genetically distinct clades. Clade I originated in South Asia, clade II in East Asia, clade III in Africa, clade IV in South America, and clade V in the Middle East (Iran) (13). All clades exhibit minimal intraclade genetic diversity but are separated from each other by tens to hundreds of thousands of single nucleotide polymorphisms (13,14). A possible sixth clade was reported from Singapore and Bangladesh in August 2023 (*C. Suphavailai* et al., unpub. data, <https://www.medrxiv.org/content/10.1101/2023.08.01.23293435v1>). Most clade isolates from Africa are resistant to fluconazole; some are resistant to other antifungal classes (9,15).

Author affiliations: National Institute for Communicable Diseases, Johannesburg, South Africa (D. Kekana, S.D. Naicker, L. Shuping, N.P. Govender); University of the Witwatersrand, Johannesburg (D. Kekana, S. Velaphi, F.L. Nakwa, J. Wadula, N.P. Govender); Chris Hani Baragwanath Academic Hospital, Johannesburg (S. Velaphi, F.L. Nakwa, J. Wadula); University of Cape Town, Cape Town, South Africa (N.P. Govender); University of Exeter, Exeter, United Kingdom (N.P. Govender)

<sup>1</sup>Members of GERMS-SA are listed at the end of this article.

Globally, echinocandin-resistant isolates are not as prevalent as azole- and polyene-resistant isolates, which is partly why echinocandins are recommended as first-line treatment (5).

We aimed to describe the molecular epidemiology of *C. auris* at a large tertiary academic hospital in Johannesburg, South Africa by using whole-genome sequencing (WGS), focusing on a persistent outbreak in the hospital’s neonatal unit. For GERMS-SA, an ongoing surveillance program, we sought and obtained annual ethics approvals from several university ethics committees in South Africa. A detailed description of GERMS-SA surveillance methods has been published previously (7).

**Materials and Methods**

**Study Setting and Definitions**

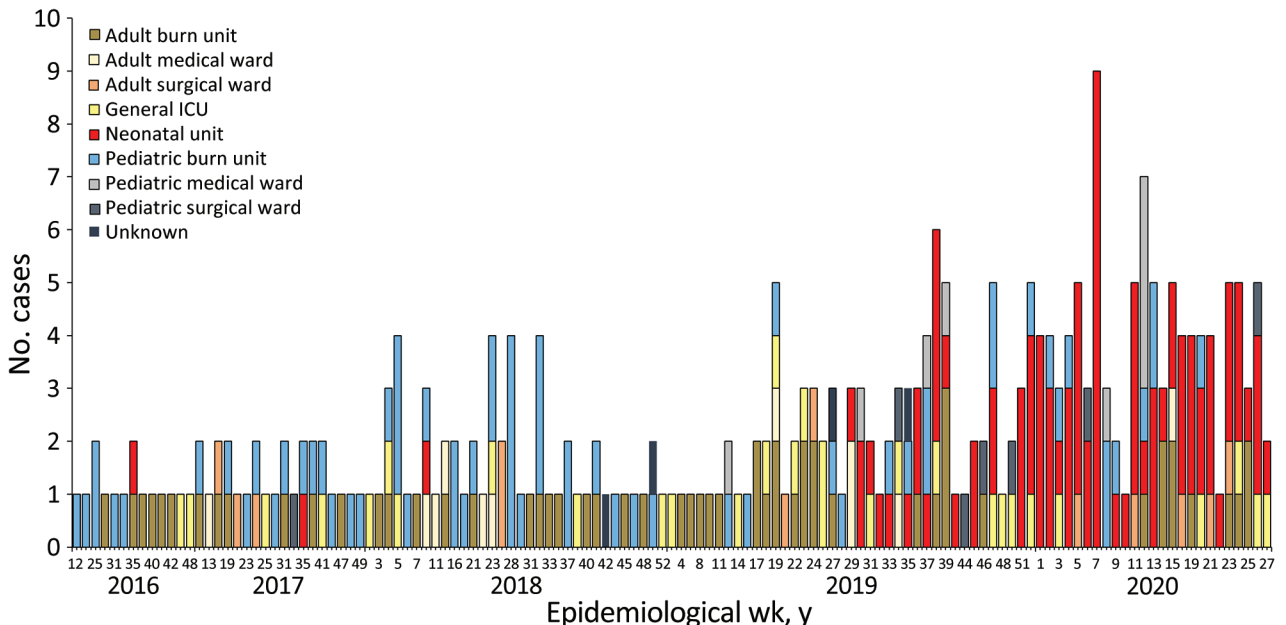
We conducted the cross-sectional study at the 3,200-bed Chris Hani Baragwanath Academic Hospital (CHBAH) in Soweto, Johannesburg, South Africa. Affiliated with the University of the Witwatersrand, CHBAH provides a wide range of subspecialist inpatient and outpatient surgical, medical, pediatric and obstetrics/gynecology services. The hospital serves 1.5 million persons around Soweto and surrounding areas as a tertiary referral center. The hospital’s neonatal unit caters to neonates requiring admission from ≈20,000 annual births within the hospital; 3,000 births from local district hospitals and 10,000 births from the local clinics annually; and those requiring

surgical services from the southern Gauteng and North West Provinces (16). All *C. auris* isolates in this study were received from the onsite microbiology laboratory at CHBAH.

We included *C. auris* isolates from all patients admitted to the neonatal unit from June 12, 2019, through May 30, 2020 (overlapping with the neonatal unit outbreak, which began with an index case diagnosed on June 12, 2019), as well as isolates from patients admitted across the hospital from March 1, 2016, through July 31, 2020 (Figure 1). Specimens that were processed for routine microbiology culture and yielded *C. auris* were from blood, central venous catheter (CVC) tips, urine, and wound and burn swabs. We also included *C. auris* isolates that were cultured from swabs collected by targeted screening of high-risk contact patients in the neonatal unit (e.g., adjacent incubator, same cubicle, or both). For all case-patients with multiple isolates within a 30-day period, we used the first isolate for analysis. We obtained demographic and clinical data such as age, sex, ward location, and site of *Candida* infection from the GERMS-SA surveillance database. We defined neonates as <28 days of age, infants as 28 days to <12 months of age, children as 1–12 years of age, adolescents as 13–17 years of age, and adults as ≥18 years of age.

**Culture, Identification, and Antifungal Susceptibility Testing**

All isolates from invasive and noninvasive cases were first processed at the CHBAH onsite laboratory. If



**Figure 1.** Epidemic curve by ward location for 287 laboratory-confirmed cases of *Candida auris* infection or colonization at an academic tertiary hospital, South Africa, March 2016–July 2020. ICU, intensive care unit.

yeasts were observed on microscopic direct examination or if fungal culture was specifically requested, we inoculated specimens onto Sabouraud agar (DiagnosticMediaProducts[DMP], <https://www.nhls.ac.za>). We used Vitek-2 (bioMérieux, <https://www.biomerieux.com>) and Microscan Walkaway (Beckman Coulter, [www.beckmancoulter.com](http://www.beckmancoulter.com)) for initial identification. We shipped cultures to the National Institute for Communicable Diseases (NICD) on Dorset transport medium or agar plates (DMP); we confirmed identification and performed antifungal susceptibility testing before storage (16,17). For this study, we retrieved *C. auris* isolates from  $-70^{\circ}\text{C}$  freezer storage at the NICD and subcultured on Sabouraud agar to confirm viability, then cultured them onto chromogenic agar (DMP) to confirm purity (CHROMagar *Candida* powder was sourced from Mast Diagnostics, <https://www.mastgroup.com>). We conducted matrix-assisted laser desorption/ionization-time of flight mass spectrometry (Bruker Daltonics, <https://www.bruker.com>) to identify all *C. auris* isolates again before further testing. We performed antifungal susceptibility testing using commercial gradient diffusion (Etest, bioMérieux) or broth microdilution assays (Sensititre; Thermo Fisher, <https://www.thermofisher.com>). We used the Etest method to generate amphotericin B MICs because the gradient diffusion strip provides a wider range of MIC values than are generated by broth-microdilution testing; the wider range may help discriminate between susceptible and resistant isolates (17). We interpreted MICs using tentative breakpoints proposed by the US Centers for Disease Control and Prevention (1). All experiments included the quality control strains *C. parapsilosis* (ATCC 22019) and *C. krusei* (ATCC 6258), following M27-A3 (18) and M60 recommendations of the Clinical and Laboratory Standards Institute (19).

### Whole-Genome Sequencing

We extracted DNA using the Zymo Research Quick-DNA Fungal/Bacterial miniprep kit (Zymo Research Corporation, <https://www.zymoresearch.com>) according to the manufacturer's instructions, with some exceptions. For example, we extracted DNA from yeast colonies directly instead of from a resuspension of yeast cells in water; we used 40  $\mu\text{L}$  of elution buffer instead of the 100  $\mu\text{L}$  recommended volume. We sent genomic DNA to the NICD Sequencing Core Facility for WGS. We performed sequencing of the 12.6 Mb-sized genome on 188 samples. We prepared genomic libraries using Nextera DNA Library Preparation (Illumina, <https://www.illumina.com>), followed by  $2 \times 300$  bp sequencing on an Illumina NextSeq 500 platform to produce paired end reads.

### Single-Nucleotide Polymorphism Calling and Phylogenetic Analysis

We assessed the quality of read data using FastQC version 0.11.9 (<https://www.bioinformatics.babraham.ac.uk>) and performed read filtering and trimming using PRINSEQ version 0.20.4 (<http://prinseq.sourceforge.net>) (20). We mapped the read data to a reference *C. auris* genome (clade III, B11221; National Center for Biotechnology Information [NCBI] BioProject accession no. PRJNA535510) using the Burrows-Wheeler data transformation algorithm version 0.7.17 (21). We performed variant calling (i.e., single-nucleotide polymorphism [SNP] analysis) using SAMtools version 1.11 (21) through the Northern Arizona SNP Pipeline (NASP) (<http://tgennorth.github.io/NASP/install.html>) (22). Filtering parameters involved removing positions that had  $<10\times$  coverage,  $<90\%$  variant allele calls and those within duplicated regions in the reference (14). We estimated a maximum parsimony phylogeny tree using MEGA software version 10.0.5 (<https://www.megasoftware.net>) and bootstrap analysis based on 1,000 replicates using the bestSNP alignment produced by the NASP pipeline (23). We included external sequences representing each clade (I–V) in the analysis to confirm the clade assignments of the isolates: clade I, PEKT02 (B8441, NCBI BioSample no. SAMN05379624) and SRR3883445 (B11214, NCBI BioSample no. SAMN05379602); clade II, PYFR01 (B11220, NCBI BioSample no. SAMN05379608); clade IV, PYGM01 (B11243, NCBI BioSample no. SAMN05379619); clade V, SRR9007776 (NCBI BioSample no. SAMN11570381). Also included in the analysis was a genome of the first South Africa isolate to be reported and sequenced from CHBAH in 2009 (9).

### Phylogenetic Analysis of Clade III Neonatal Isolates

We created a root-to-tip regression plot using TempEst version 1.5.3 (24) to quantify the mutation rate and to assess the temporal signal of the outbreak strains. We inferred Bayesian phylogenies using BEAST version 1.8.4 (25) by applying a general time-reversible nucleotide substitution model under a strict molecular clock with the mutational rate obtained from the root-to-tip regression. We used the SNP alignment of the clade III isolates for both TempEst and BEAST. We chose a general time-reversible model as a nonspecific model for phylogenetic inference. The model assumes different rates of substitution for each nucleotide and different frequencies of nucleotide occurrence (26). Furthermore, we applied a coalescent exponential population prior distribution and used specimen collection dates (day, month, year) as tip

dates. We set the length of the Markov Chain Monte Carlo (MCMC) at 50,000,000 steps and logged parameters every 1,000 steps. We used Tracer version 1.7.1 (<https://beast.community/tracer>) to visualize and analyze the posterior MCMC samples. The effective sample size was >700, indicating that the MCMC runs had converged. We generated a maximum clade credibility tree with TreeAnnotator version 1.8.4 (<https://beast.community/treeannotator>) after discarding the first 10% as burn-in and visualized the tree using FigTree version 1.4.4 (<http://tree.bio.ed.ac.uk/software/figtree>). We also performed an exploratory analysis to reconstruct potential transmission routes in the hospital (Appendix, <https://wwwnc.cdc.gov/EID/article/29/10/23-0181-App1.pdf>).

### Resistance Mutation Identification

We used CLC Genomics Workbench version 10 (QIAGEN, <https://www.qiagen.com>) to assess and identify mutations in resistant *C. auris* isolates. We extracted 2 genes from each resistant *C. auris* genome and assessed for any point mutations (i.e., substitutions, duplications): *ERG11*, which is transcribed into an azole target; and *FKS1*, an echinocandin target gene (27). We used a wild-type strain with no known mutations as a reference in the analysis to determine the presence of mutations (*C. auris* B8441, NCBI accession no. PEKT00000000). Susceptible isolates were also assessed for mutations in the same genes.

## Results

### Patient Characteristics

During the surveillance period, 287 culture-confirmed cases (invasive and noninvasive) were reported from the hospital from different wards; of those, 207 (72%) had viable isolates available for analysis. Most of the cases were from the neonatal unit (91/287, 32%), pediatric burn unit (66/287, 23%), and adult burn unit (57/287, 20%). The median age was 1.4 years (interquartile range [IQR] 22 days to 21 years; range 0 days to 85 years). Adults accounted for the highest proportion of cases (74/287, 26%) followed by neonates (62/287, 22%). More patients were male (54%, 155/287) than female (42%, 121/287; sex was unknown for 4% (11/287)). Most isolates were from blood cultures (161/287, 56%), followed by skin swabs (33/287, 12%) (Table 1).

### Antifungal Susceptibility of Isolates

Most (199/207; 96%) of the isolates were resistant to fluconazole (MIC  $\geq 32$   $\mu\text{g}/\text{mL}$ ); 13 (6%) were considered resistant to amphotericin B (MIC  $\geq 2$   $\mu\text{g}/$

**Table 1.** Characteristics of 287 patients with *Candida auris* infection or colonization admitted to a large academic hospital in South Africa, 2016–2020

Characteristic	No. (%) isolates
<b>Sex</b>	
M	155 (54)
F	121 (42.2)
Unknown	11 (3.8)
<b>Age group</b>	
Neonates, $\leq 28$ d	62 (21.6)
Infants, 28 d–12 mo	56 (19.5)
Children, 1–12 y	54 (18.8)
Adolescents, 13–17 y	11 (3.8)
Adults, $\geq 18$ y	74 (25.8)
Unknown	30 (10.5)
<b>Specimen type</b>	
Blood	161 (56.1)
Skin swab	33 (11.5)
Arterial catheter tip	28 (9.8)
Central venous catheter tip	24 (8.4)
Urine	11 (3.8)
Tracheal aspirate	8 (2.8)
Tissue, not specified	6 (2.1)
Fluid aspirate, not specified	3 (1)
Skin scraping	1 (0.3)
Burn/wound swab	1 (0.3)
Unknown	11 (3.5)
<b>Ward location</b>	
Neonatal unit	91 (31.7)
Pediatric burn unit	66 (23)
Adult burn unit	57 (19.9)
General adult/pediatric intensive care unit	30 (10.5)
Adult surgical	12 (4.2)
Adult medical	11 (3.8)
Pediatric medical	9 (3.1)
Pediatric surgical	7 (2.4)
Unknown	4 (1.4)

mL). Multidrug-resistant isolates were also present; 2 (0.9%) isolates were resistant to both caspofungin and fluconazole and 3 (1.4%) were resistant to both fluconazole and amphotericin B. No isolates in this study were resistant to  $\geq 3$  antifungal classes (pan-resistant) (Table 2).

### Phylogenetic and Resistant Mutation Analysis

Of 207 viable *C. auris* isolates, 200 were available for WGS. We excluded 12 sequences of poor quality from the analysis; WGS data of 188 isolates were available for bioinformatics analysis. Overall, 118 (63%) clustered with the Africa clade III reference and the remaining 70 (37%) with the South America clade IV reference (Figure 2). Isolates in this study did not cluster in any other clade. A total of 181 (96%) isolates had a fluconazole MIC value  $\geq 32$   $\mu\text{g}/\text{mL}$ , and 186 (99%) had known resistance mutations in the *ERG11* gene; thus, 5 sequences from phenotypically susceptible isolates also housed resistance mutations (Table 3). Isolates for clade III had the VF125AL substitution that is specific to the clade. Isolates from clade IV had the substitutions K177R/N335S/E343D, which had been documented in this clade previously (28). Some

**Table 2.** MIC distribution of *Candida auris* isolates (n = 207) from patients admitted to a tertiary academic hospital, South Africa, 2016–2020\*

Drug	Breakpoint, µg/mL	No. isolates with MIC, µg/mL															% Resistant		
		0.08	0.015	0.03	0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128		256	Unk†
AFG	≥4		1		28	129	42	7											0
MFG	≥4			2	96	94	12	1	2										0
5FC	≥128	3			67	125	10	2											0
POS	NA	1	1	15	73	80	31	4	2										NA
VRC	NA				2	8	21	58	103	13	2								NA
ITC	NA			2	10	84	84	25	2										NA
FLC	≥32								1			7	13	34	73	79			96
AMB	≥2						1	9	135	61								1	42
AMB Etest‡	≥2		1	1	2	9	22	76	79	11	2							4	6.2

\*AMB, amphotericin B; AFG, anidulafungin; FLC, fluconazole; ITC, itraconazole; MFG, micafungin; NA, not available; POS, posaconazole; unk, unknown; VRC, voriconazole; 5FC, flucytosine.

†Isolates missing data or not tested

‡MICs were determined using both broth microdilution and E-test method for amphotericin B.

isolates with the clade IV substitutions (27/70, 38%) had an additional E102K substitution.

The neonatal unit, the location of the outbreak starting in June 2019, was dominated by clade III isolates, whereas clade IV strains dominated the adult and pediatric burn units. We observed clade mixing in both burn units (Figure 3). Isolates from the neonatal unit constituted 37% (68/188) of the isolates in our phylogenetic tree.

### Phylogenetic Analysis

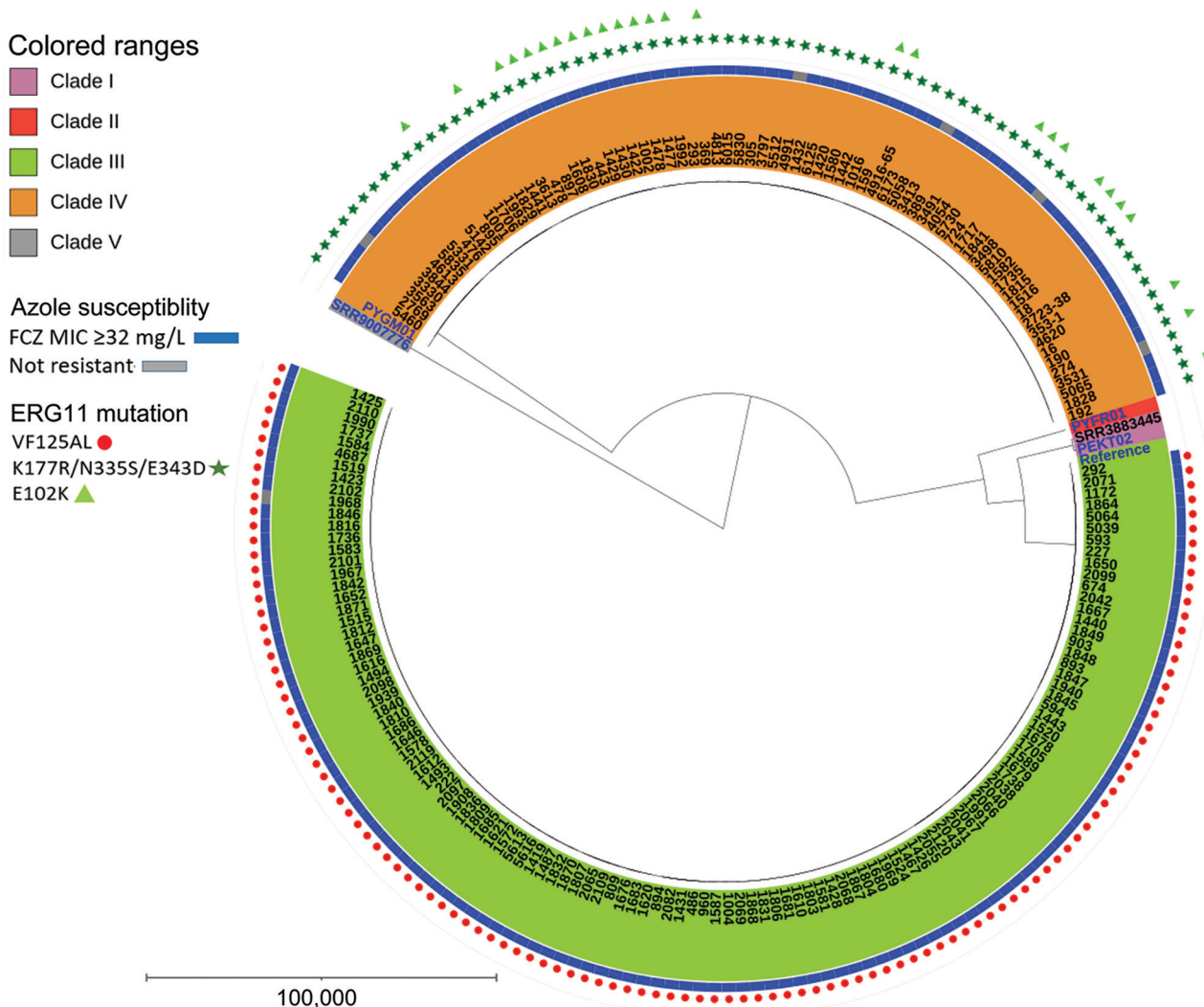
We included only isolates from clade III, which was the clade responsible for the neonatal unit outbreak, in the following analysis. We created a root-to-tip regression plot to determine whether there was a positive correlation between the time the isolates were sampled and the number of substitutions along the tree topology. Linear regression estimates of the evolutionary rate equated to  $1.3471 \times 10^5$  nt substitutions/site/year (correlation coefficient = 0.5). The rate was similar to that of an outbreak in Kenya caused by clade III isolates in which the calculated mutation of the outbreak strains was  $1.8695 \times 10^{-5}$  substitutions/site/year (29). The rate supported a strong temporal signal and was sufficient for further Bayesian molecular dating. The root-to-tip regression plot predicted the time to the most recent common ancestor (tMRCA) in 2018 based on the x intercept (Figure 4). The tMRCA for clade III in this hospital dated back to early 2014 (95% highest posterior density 2013 to mid-2015), whereas the tMRCA for the neonatal unit outbreak dated to 2018 (95% highest posterior density, mid-2017 to mid-2018), corresponding to the estimation from the root-to-tip regression analysis (Figure 5, <https://wwwnc.cdc.gov/EID/article/29/10/23-0181-F5.htm>). The exploratory analysis of the outbreak reconstruction of clade III revealed that infections were introduced into the neonatal unit from the adult burn unit, adult medical department, and an unknown ward (Appendix).

### Discussion

Fluconazole-resistant clade III *C. auris* isolates caused a large persistent outbreak in the neonatal unit of a tertiary academic South Africa hospital beginning in June 2019 and continuing into 2023. WGS analysis of all viable surveillance isolates from this hospital during 2016–2020 revealed that clade IV *C. auris* isolates dominated in the adult and pediatric burn units with clade III and IV co-circulation. Phylodynamic analysis of the clade III neonatal outbreak isolates did not point to a specific source.

By 2016, *C. auris* was reported in >100 South Africa acute-care hospitals and had caused large outbreaks in some of these hospitals. *C. auris* had replaced other pathogens to become the third most common cause of candidemia in South Africa (7). Over our entire study period (i.e., March 2016–July 2020), the neonatal unit outbreak contributed to one third of all the cases at the hospital. Smaller *C. auris* outbreaks involving neonates have been reported previously in other hospitals in South Africa (7,30). Neonatal units in national central hospitals, such as CHBAH, have become fungal outbreak epicenters, driven by the innate vulnerability of very high-risk patients admitted to these units, occupancy routinely exceeding the approved bed capacity of the unit, and limited resources available for infection prevention and control or antimicrobial stewardship (31). Although the exact manner of *C. auris* introduction for this outbreak remains undetermined, temporal analysis of the outbreak strains placed tMRCA in early 2018, suggesting a relatively recent introduction (10,32). Continued surveillance until June 2022 has confirmed persistence of *C. auris* in the unit with sporadic cases of invasive infections.

Most isolates in our study belonged to Africa clade III (118/188, 63%) or the South America clade IV (70/188, 37%). The overall molecular epidemiology of *C. auris* in South Africa was determined in a



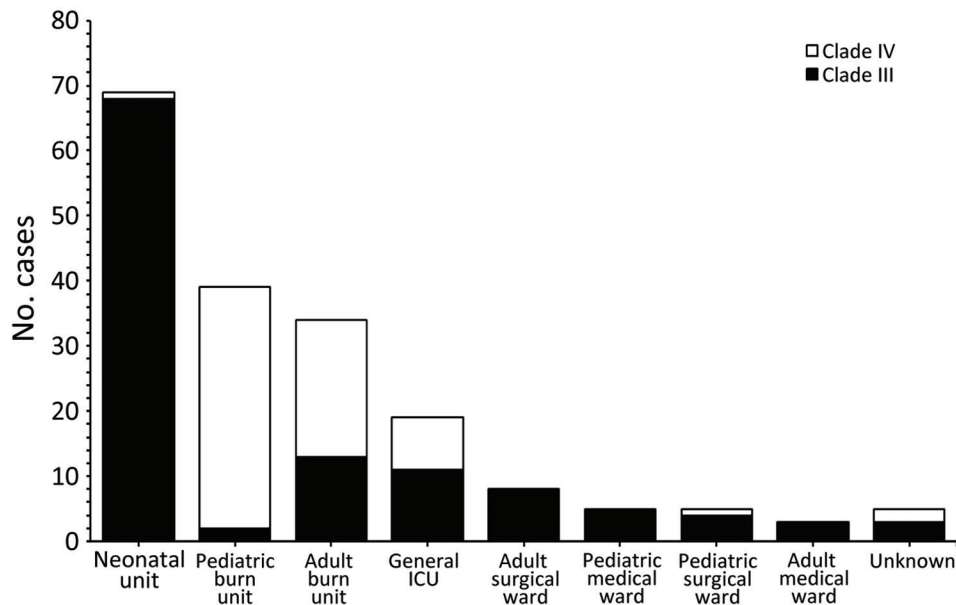
**Figure 2.** Phylogenetic tree depicting clade distribution and fluconazole resistance mutations of 188 invasive or colonizing South African *Candida auris* strains isolated from patients admitted to a large metropolitan hospital in South Africa, 2016–2020. The unrooted maximum-parsimony tree was created using MEGA software (<https://www.megasoftware.net>) using 287,338 single-nucleotide polymorphisms based on 1,000 bootstrap replicates. Scale bar indicates number of pairwise differences. FCZ, fluconazole.

WGS study which reported that 85% of isolates from 2009–2018 belonged to clade III, 12% belonged to clade I, and 3% to clade IV (9). An earlier global molecular epidemiology study reported only clade III isolates (n = 51) from South Africa (33). However, clade IV contributed to a proportionally larger case load in this hospital. Because the first reported isolate in South Africa in 2009 belonged to clade IV and was from CHBAH (9), we hypothesize that a clonal expansion of clade IV

occurred in this hospital and those strains continued to circulate during our study period. Circulation of multiple *C. auris* clades in South Africa may be explained by global travel and trade (34–36). Furthermore, phylogeographic mixing of *C. auris* clades has been observed more often in recent years. Countries including Canada (clades I, II, and III), Kenya (clades I and III) and the United States (clades I, II, III, and IV) also reported cases caused by multiple *C. auris* clades (10).

**Table 3.** Clade proportions and frequency of antifungal drug resistance within the study population among 188 *Candida auris* isolates, South Africa

Clade	No. (%) isolates				
	All	Fluconazole resistant	Point mutation in <i>ERG11</i>	Point mutation in <i>FKS1</i>	Amphotericin B resistant
III	118 (62.5)	117 (99.1)	118 (100)	0	2 (2.8)
IV	70 (37.4)	66 (91.4)	70 (100)	0	1 (1.42)

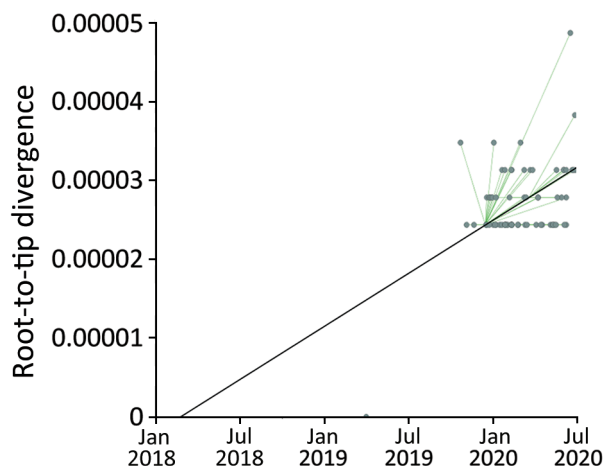


**Figure 3.** Clade distribution of 188 South African *Candida auris* isolates from patients admitted to a large metropolitan hospital classified by the patients' ward locations, South Africa, 2016–2020. ICU, intensive care unit.

Most isolates in our study were resistant to fluconazole. Fluconazole-susceptible isolates of *C. auris* are rare worldwide, especially within Africa clade III; this resistance limits the treatment options (9). Fluconazole-resistant *C. auris*, as a cause of healthcare-associated infections, adds costs to the health system since fluconazole is the least expensive and most accessible systemic antifungal agent (8,37). Amphotericin B resistance has been reported among *C. auris* isolates but remains rare while echinocandin resistance has also been reported, especially in clade I isolates (37). Echinocandins are recommended as first-line treatment

for candidemia in adults, although amphotericin B deoxycholate, with better central nervous system penetration, is recommended for neonates who may develop *Candida* meningoencephalitis (8). We did not observe isolates resistant to all classes of antifungals in this study; however, 2 panresistant isolates were reported in South Africa in a previous study (16).

Most of the fluconazole-resistant isolates in our study had clade-specific mutations in the *ERG11* gene that are known to contribute to resistance. The clade III *ERG11* mutation (VF125AL) seems to be universal across resistant isolates within the clade and has been seen in other clade III isolates from previous studies, even those isolated outside Africa (33); T.K. Price et al., unpub. data, <https://doi.org/10.1101/2020.10.26.20214908>). The *ERG11* gene-mediated mechanism of resistance to fluconazole for clade IV isolates varies, and isolates from different geographic areas have different *ERG11* mutations (28). Isolates in our study had the same substitutions as isolates originating from Colombia, which contained all 3 *Erg11p* substitutions (K177R, N335S, E343D), whereas azole resistance in clade I India/Pakistan isolates and some clade IV Colombia isolates was caused by Y132F or K143R substitutions (28). Of interest, the K177R, N335S, and E343D clade IV substitutions have not been shown to contribute to increased azole resistance even in Colombian isolates. Instead, other Colombian isolates had the substitutions I466M or Y501H that have been shown to contribute to resistance, which we did not see in the isolates in our study (28). Some sequences with the described clade IV substitutions had an additional E102K substitution in our study; this substitution



**Figure 4.** Root-to-tip regression analysis of 67 South African *Candida auris* outbreak isolates from the neonatal ward of a large metropolitan hospital in South Africa. Genetic distance is plotted against sampling time. Every data point represents a tip on the phylogeny. Black line indicates correlation coefficient for the regression. Green lines represent the evolutionary rate in substitutions/site/day.

has not been documented or proven to contribute to decreased susceptibility in *C. auris* strains. There were cases in our study where susceptible isolates possessed resistant mutations especially in clade IV. It appears that clade IV isolates might have a more complex mechanism of resistance.

A limitation of our study was that we only included cultured isolates from laboratory-based surveillance from patients with routinely diagnosed invasive infections and a few with colonization. Thus, we sampled only a subset of all infections that would have occurred at this hospital. An extensive collection of isolates using systematic sampling in a prospective study, including invasive, colonizing, and environmental strains, would be needed to determine the full extent of transmission routes with a higher resolution and to avoid missing links. In addition, detailed clinical information and travel and hospital transfer data were not available for all cases; this information would have enabled us to potentially determine how the pathogen was introduced into the hospital. Another limitation in our study is that we did not account for recombination analysis, which might have introduced bias in the phylogenetic analysis.

In summary, we characterized *C. auris* isolates circulating in a major metropolitan public hospital in South Africa. Our data showed that clades III and IV co-circulated, and clade III was responsible for a large outbreak in the neonatal unit. Most isolates were resistant to fluconazole and carried previously published clade-specific *ERG11* mutations. We speculate that the neonatal unit outbreak may have originated from cross-unit transmission by infected or colonized patients, colonized healthcare workers, or contaminated equipment. Patient environments may have also served as reservoirs of infection.

Members of the GERMS-SA surveillance program who participated in surveillance and sending *Candida* isolates and data to the National Institute for Communicable Diseases: John Black, Vanessa Pearce (Eastern Cape Province); Masego Moncho, Motlatji Maloba (Free State Province); Anwar Hoosen, Charl Verwey, Charles, Feldman, Colin Menezes, David Moore, Dina Pombo, Gary Reubenson, Grace Ntlemo, Jeannette Wadula, Jeremy Nel, Maphoshane Nchabeleng, Merika Tsitsi, Moamokgethi Moshe, Mohammed Said, Nicolette du Plessis, Rispah Chomba, Sarah Stacey, Theunis Avenant, Trusha Nana, Vindana Chibabhai (Gauteng Province); Adhil Maharj, Douglas Wilson, Fathima Naby, Halima Dawood, Khine Swe Swe Han, Lisha Sookan, Nomonde Dlamini, Praksha Ramjathan, Prasha Mahabeer, Prathna

Bhola, Romola Naidoo, Sumayya Haffejee, Surendra Sirkar, Yeishna Ramkillawan (KwaZulu-Natal Province); Ken Hamese, Ngoaka Sibiyi, Phetho Mangena, Ruth Lekalakala (Limpopo Province); Greta Hoyland, Sindi Ntuli (MP); Pieter Jooste (Northern Cape Province); Ebrahim Variava, Ignatius Khantsi, Omphile Mekgoe (North West Province); Adrian Brink, Elizabeth Prentice, Kessendri Reddy, Andrew Whitelaw (Western Cape Province); Ebrahim Hoosien, Inge Zietsman, Terry Marshall, Xoliswa Poswa; Chetna Govind, Juanita Smit, Keshree Pillay, Sharon Seetharam, Victoria Howell; Catherine Samuel, Marthinus Senekal; Andries Dreyer, Louis Marcus, Warren Lowman; Anne von Gottberg, Anthony Smith, Azwifarwi Mathunjwa, Bhavani Moodley, Cecilia d'Abreu, Cecilia Miller, Cheryl Cohen, Farzana Ismail, Harry Moultrie, Husna Ismail, Jacqueline Weyer, Jackie Kleynhans, Jenny Rossouw, John Freen, Joy Ebonwu, Judith Mwansa-Kambafwile, Juno Thomas, Kate Bishop, Kerrigan McCarthy, Liliwe Shuping, Linda de Gouveia, Linda Erasmus, Lynn Morris, Lucille Blumberg, Marshagne Smith, Martha Makgoba, Michelle Groome, Mignon du Plessis, Mimmy Ngomane, Mokupi Manaka, Myra Moremi, Nazir Ismail, Nelesh Govender, Neo Legare, Nicola Page, Nombulelo Hoho, Olga Perovic, Phuti Sekwadi, Rindidzani Magobo, Rudzani Mashau, Ruth Mpembe, Sibongile Walaza, Siyanda Dlamini, Sunnieboy Njikho, Susan Meiring, Tiisetso Lebaka, Vanessa Quan, Wendy Ngubane.

#### Acknowledgments

We acknowledge Rhys Farrer and Nancy Chow for technical assistance and individuals from the Centre for Healthcare-Associated Infections, Antimicrobial Resistance and Mycoses at NICD: Agnes Sesoko, Amanda Shilubane, Boitumelo Kgoale, Boniwe Makwakwa, Daniel Desanto, Denver Jainarain, Ernest Tsotetsi, Gloria Molaba, Greg Greene, Ivy Rukasha, Juliet Paxton, Leandi Steynfaardt, Mabatho Mhlanga, Mbali Dube, Michelle Lowe, Mpho Thanjekwayo, Naseema Bulbulia, Nikiwe Valashiya, Nozuko Blasich, Ntombi Dube, Patrick Pitjeng, Phelly Matlapeng, Rosah Mabokachaba, Rotondwa Mudau, Rubeina Badat, Ruth Mogokotleng, Sabelle Jallow, Serisha Naicker, Siphwe Kutta, Tsidiso Maphanga, and Wilhelmina Strasheim.

This work was supported in part by a Fogarty International Centre Global Infectious Disease research training grant from the National Institutes of Health to the University of Pittsburgh and National Institute for Communicable Diseases (D43TW011255) and by the South Africa-Pitt Public Health Genomic Epidemiology Training Program (SAPPHGenE) by providing financial support for degree purposes and non-degree training.



## About the Author

Ms. Kekana is a bioinformatics scientist at the National Institute for Communicable Diseases, Johannesburg, South Africa. This research paper formed the basis of her master of science degree in medicine awarded by the University of the Witwatersrand in 2022. She is working on next-generation sequencing data analysis of viral genomes, including SARS-CoV-2, respiratory syncytial virus, and influenza virus.

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Address for correspondence: Nelesh P. Govender, National Institute for Communicable Diseases, Centre for Healthcare-Associated Infections, Antimicrobial Resistance and Mycoses, Private Bag X4, Sandringham, Johannesburg 2132, South Africa; email: neleshg@nicd.ac.za

# etymologia revisited

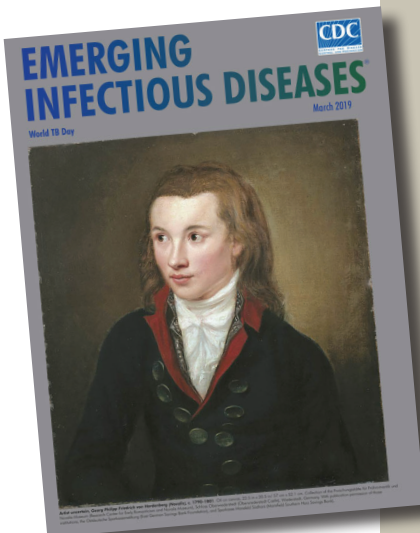
## Streptomycin strep'to-mi'sin

In the late 1930s, Selman Waksman, a soil microbiologist working at the New Jersey Agricultural Station of Rutgers University, began a large-scale program to screen soil bacteria for antimicrobial activity. By 1943, Albert Schatz, a PhD student working in Waksman's laboratory, had isolated streptomycin from *Streptomyces griseus* (from the Greek *strepto-* ["twisted"] + *mykēs* ["fungus"] and the Latin *griseus*, "gray").

In 1944, Willam H. Feldman and H. Corwin Hinshaw at the Mayo Clinic showed its efficacy against *Mycobacterium tuberculosis*. Waksman was awarded a Nobel Prize in 1952 for his discovery of streptomycin, although much of the credit for the discovery has since been ascribed to Schatz. Schatz later successfully sued to be legally recognized as a co-discoverer of streptomycin.

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Originally published  
in March 2019

[https://wwwnc.cdc.gov/eid/article/25/3/et-2503\\_article](https://wwwnc.cdc.gov/eid/article/25/3/et-2503_article)

# *Candida auris* Clinical Isolates Associated with Outbreak in Neonatal Unit of Tertiary Academic Hospital, South Africa

## Appendix

### Supplementary Methods

#### Transmission Route Reconstruction

To reconstruct potential transmission routes in the hospital, an algorithm called SeqTrack was used (*1*). SeqTrack is within the adegenet package in R, it uses a parsimony phylogeny approach to determine ancestries based on an alignment of SNPs and sampling dates of the isolates to create a schematic diagram of proposed transmission routes between the patients. The mutational rate ( $\mu$ ) obtained from the root-to-tip regression analysis, the length (haplo.length) of analyzed sequences in number of nucleotides and a matrix of pairwise distances and collections was used to plot a series of trees and the tree with the maximum parsimony was chosen. Only one sample per person (whether colonization or disease) was used. The data from the isolates was assessed to investigate whether the isolates formed distinct clusters due to epidemiologic variables such as year of isolation or ward location with regard to their genetic relationships.

### Supplementary Results

#### Outbreak Reconstruction for Clade III

The potential infection chains or transmission route of *C. auris* clade in the hospital were determined using SeqTrack. The transmission network shows the most likely source of infection/colonization for each case. Only isolates from clade III were included in this analysis, which was the clade responsible for the outbreak in the neonatal ward. This analysis allowed us

to track clade III strains across the hospital wards and introduction into the neonatal ward (Appendix Figure).

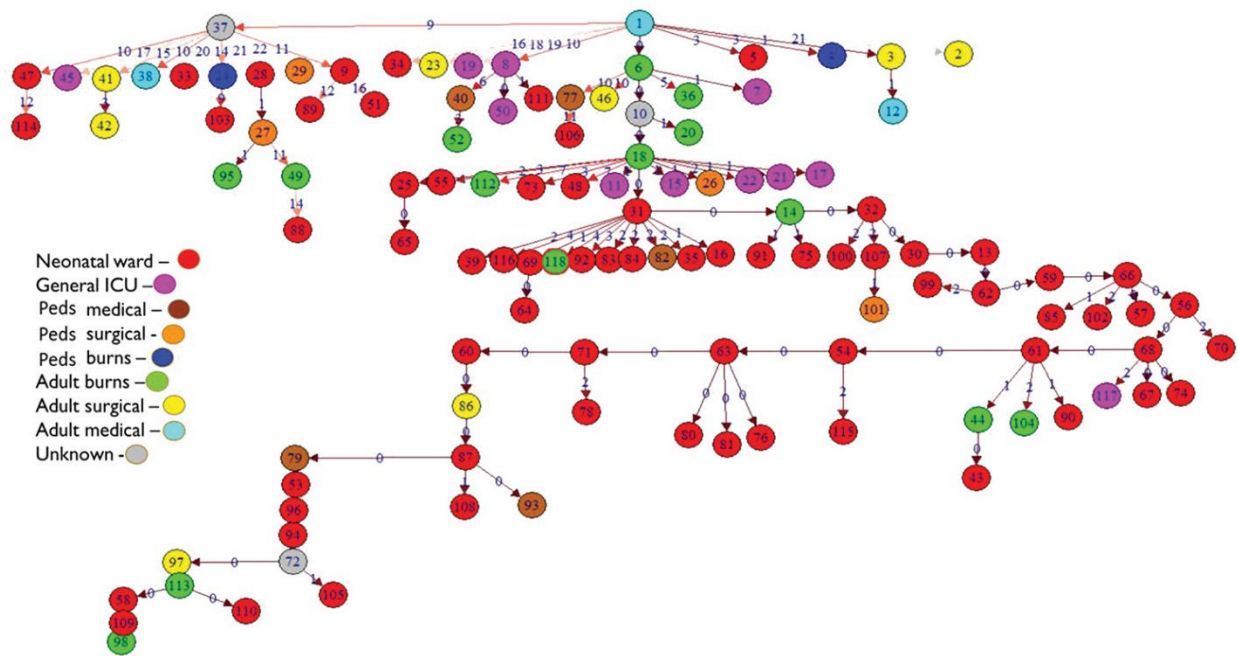
Case 1 (Isolate 4867 on the phylogenetic trees) was the index case of this tree and was isolated from a patient in an adult medical ward. Two transmission events occurred from Case 1 that gave rise to two clusters. The first cluster begins with Case 37 (1172) from an unknown ward. This cluster contained 18 cases with an average of 12.2 pairwise differences and therefore most ( $n = 6$ ) had  $<12$  SNPs in this cluster and likely had a very recent common ancestor and transmission. The second cluster from Case 1 begins with Case 6 (227) from the adult burn unit. This cluster contained infections from the neonatal ward outbreak with all ( $n = 83$ ) cases having  $<12$  SNPs and an average of 1.3 pairwise differences. Case 18 (594) seems to be the index case/ancestral case for most infections involved in this outbreak. From this ancestor, the weight is as low as zero in most branches, which indicates rapid transmission of the pathogen between the cases, a characteristic of an outbreak. This is contrary to the high number of mutations between the cases earlier in the transmission tree; these cases were sporadic and are further apart in their sampling dates. Overall, infections appear to have been introduced into the neonatal unit from the adult burn unit, adult medical department and an unknown ward.

The Bayesian analysis using BEAST estimated that the TMRCA of the outbreak strain occurred in 2018. This estimation was supported by the date of isolation for Case 6 (227) and Case 10 (292), ancestors of the neonatal ward outbreak, which were both isolated in 2018.

This exploratory analysis is limited by the inclusion of only one sample per patient and that only a small subset of isolates from the hospital were collected which does not capture the full scope of transmission in the hospital. Furthermore, our study does not include environmental isolates which form a crucial part in the transmission of the pathogen and therefore introducing missing links in the network and a looser interpretation.

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**Appendix Figure.** Reconstructed transmission tree of 118 South African Clade III *Candida auris* isolates from this study. Each case is represented by a node on the tree and arrows indicate plausible ancestries/transmissions. Mutations between the nodes are indicated by the color arrows (red = no/few mutations; light gray = many mutations) and the numbers in blue represent the weight of mutations when compared to the ancestries. Time is represented by y-axis (up: older; down: younger).