

# Highly Pathogenic Avian Influenza A(H5N8) Virus in Wild Migratory Birds, Qinghai Lake, China

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In May 2016, a highly pathogenic avian influenza A(H5N8) virus strain caused deaths among 3 species of wild migratory birds in Qinghai Lake, China. Genetic analysis showed that the novel reassortant virus belongs to group B H5N8 viruses and that the reassortment events likely occurred in early 2016.

Since 2003, the A/Goose/Guangdong/1/96 lineage (Gs/Gd-lineage) of highly pathogenic avian influenza (HPAI) A(H5N1) viruses has been evolving into diverse clades and subclades (1). A novel subclade of HPAI A(H5N8), 2.3.4.4, which evolved from a clade 2.3.4 H5N1 variant, was initially isolated from domestic ducks in eastern China in 2010 (2) and caused outbreaks in domestic ducks and migratory birds in South Korea in early 2014 (3,4). In late 2014, several countries in Europe and East Asia experienced an invasion of HPAI H5N8 virus (5). This HPAI H5Nx (H5N8, H5N2, and H5N1) lineage subsequently emerged in North America, causing fatalities among wild birds and outbreaks in domestic poultry (5).

Available evidence strongly suggests that the HPAI H5N8 subclade 2.3.4.4 viruses were introduced and spread across the globe by migratory birds (6–8). Currently, 2 distinct H5N8 virus groups have been identified: group A (Buan2-like) and group B (Gochang1-like) (3). Group A

H5N8 viruses predominate and have further evolved into 3 distinct subgroups: icA1, icA2, and icA3 (6). We report the emergence of a group B H5N8 virus in Qinghai Lake, China, a key breeding and stopover site for waterfowl along the Central Asian Flyway.

## The Study

On May 1, 2016, the carcass of a brown-headed gull (*Larus brunnicephalus*) was found on Egg Islet, a major breeding site of bar-headed geese, in Qinghai Lake. Carcasses of wild birds were recovered for 15 consecutive days, starting on May 8: 124 bar-headed geese (*Anserindicus*), 17 brown-headed gulls (*Larus brunnicephalus*), and 14 great black-headed gulls (*L. ichthyaetus*). As of June 4, a total of 158 birds, most of which were bar-headed geese, were found dead in Qinghai Lake, predominantly on Egg Islet (Figure 1; online Technical Appendix, <https://wwwnc.cdc.gov/EID/article/23/4/16-1866-Techapp1.pdf>)

In the first 9 days of the outbreak, multiple organs (brain, intestine, liver, lung, pancreas and kidney) were collected aseptically from 18 bar-headed geese, 6 brown-headed gulls, and 1 great black-headed gull. We inoculated 10-day-old chicken embryos with the homogenates of these organs for virus isolation. Almost all organs analyzed were positive for influenza virus, and we detected only H5- and N8- subtype-specific strains.

We sequenced full-length genomes and found the polybasic amino acid sequence, REKRRKR\*GL in the hemagglutinin (HA) cleavage site, confirming the virus can be classified as highly pathogenic. Sequences of 48 Qinghai Lake H5N8 influenza isolates (QH-H5N8) were deposited into the GISAID database (<http://www.gisaid.org>) under accession nos. EPI774110–EPI774510.

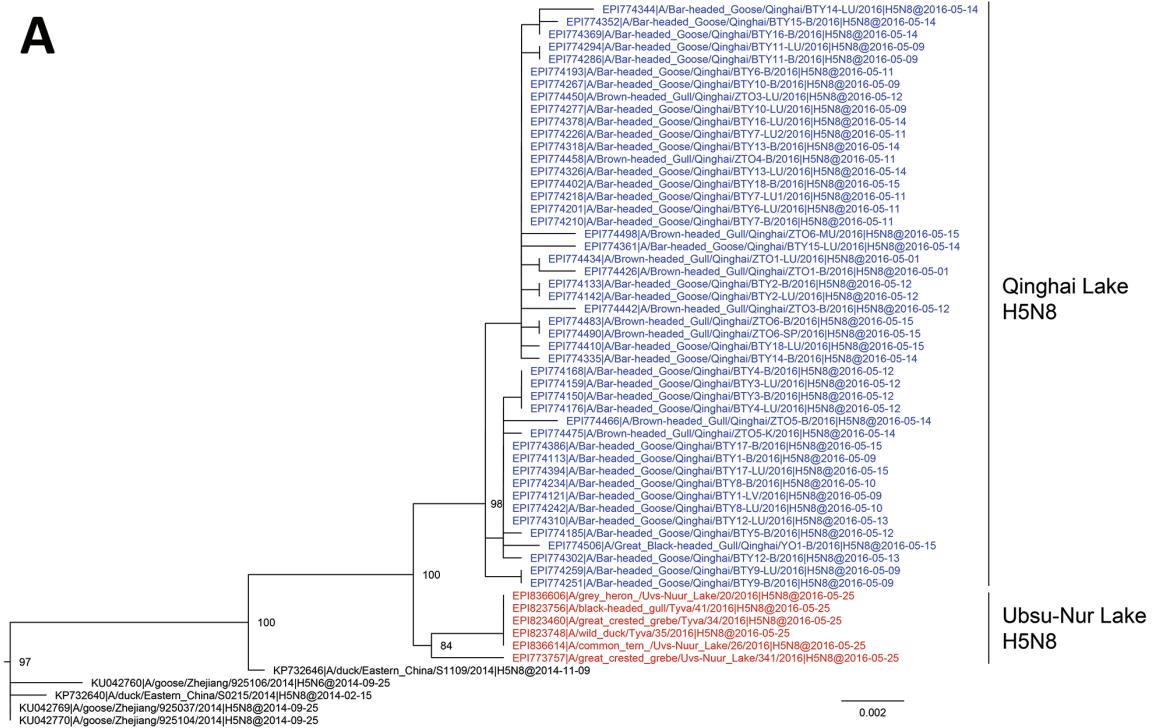
Sequence comparisons showed high nucleotide identity among all 8 gene segments of the QH-H5N8 isolates (>99.2%; data not shown), indicating that the isolated strains are descendants of a common ancestral virus. A BLAST search (<https://blast.ncbi.nlm.nih.gov/>) suggested that QH-H5N8 is a reassortant virus (online Technical Appendix Table 1) and that the HA, neuraminidase (NA), and nonstructural protein (NS) genes of QH-H5N8 share high nucleotide identity (>99.1%) with those of the H5N8 virus that circulated among poultry in eastern China in 2014 (A/duck/Eastern China/S1109/2014[H5N8]). The remaining

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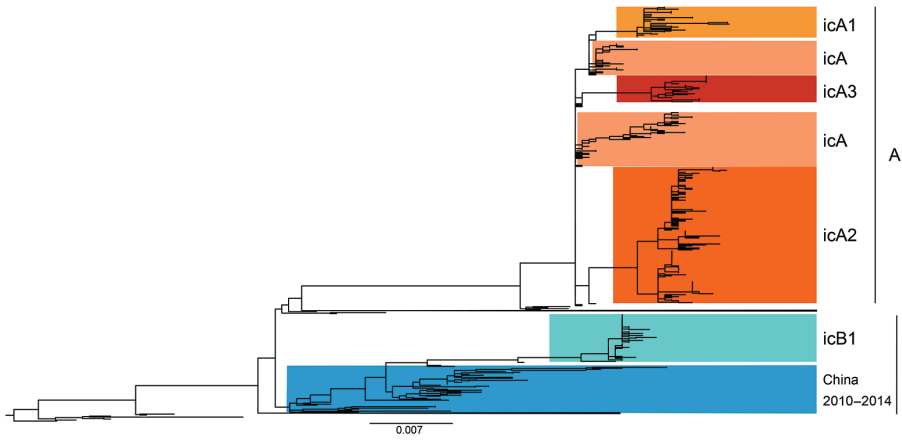
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<sup>1</sup>These authors contributed equally to this article.

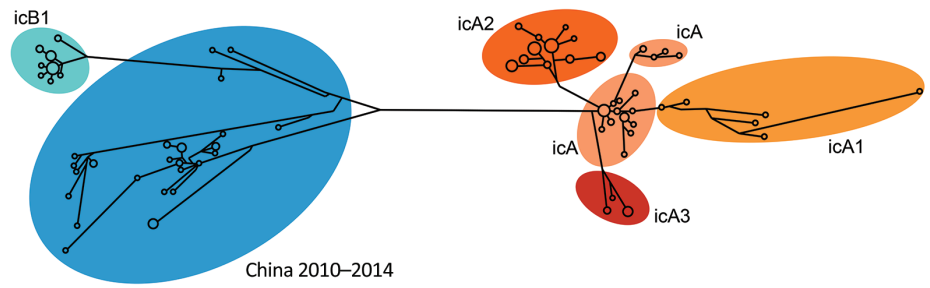
**A**



**B**



**C**



**Figure 1.** Phylogenetic analyses of 594 hemagglutinin (HA) sequences (1,704 nt) from clade 2.3.4.4 H5 influenza viruses. A) HA-coding sequence subtree from maximum-likelihood phylogenetic analysis of the clade 2.3.4.4 H5 viruses. Colored nodes: blue, Qinghai Lake H5N8 strains (this study); red, Ubsu-Nur Lake H5N8 strains. B) Maximum-likelihood phylogenetic tree of the clade 2.3.4.4 HA-coding sequences, rooted with A/Goose/Guangdong/1/96(H5N1). Scale bars indicate nucleotide substitutions per site. C) Median-joining phylogenetic network of the HA-coding gene sequences, including the most parsimonious trees linking the sequences. To simplify the network, nodes with only one sequence are not shown. Network branch lengths are proportional to the numbers of mutations. icA, intercontinental group A; icA1, intercontinental subgroup A1; icA2, intercontinental subgroup A2; icA3, intercontinental subgroup A3; icB1, intracontinental subgroup B1.

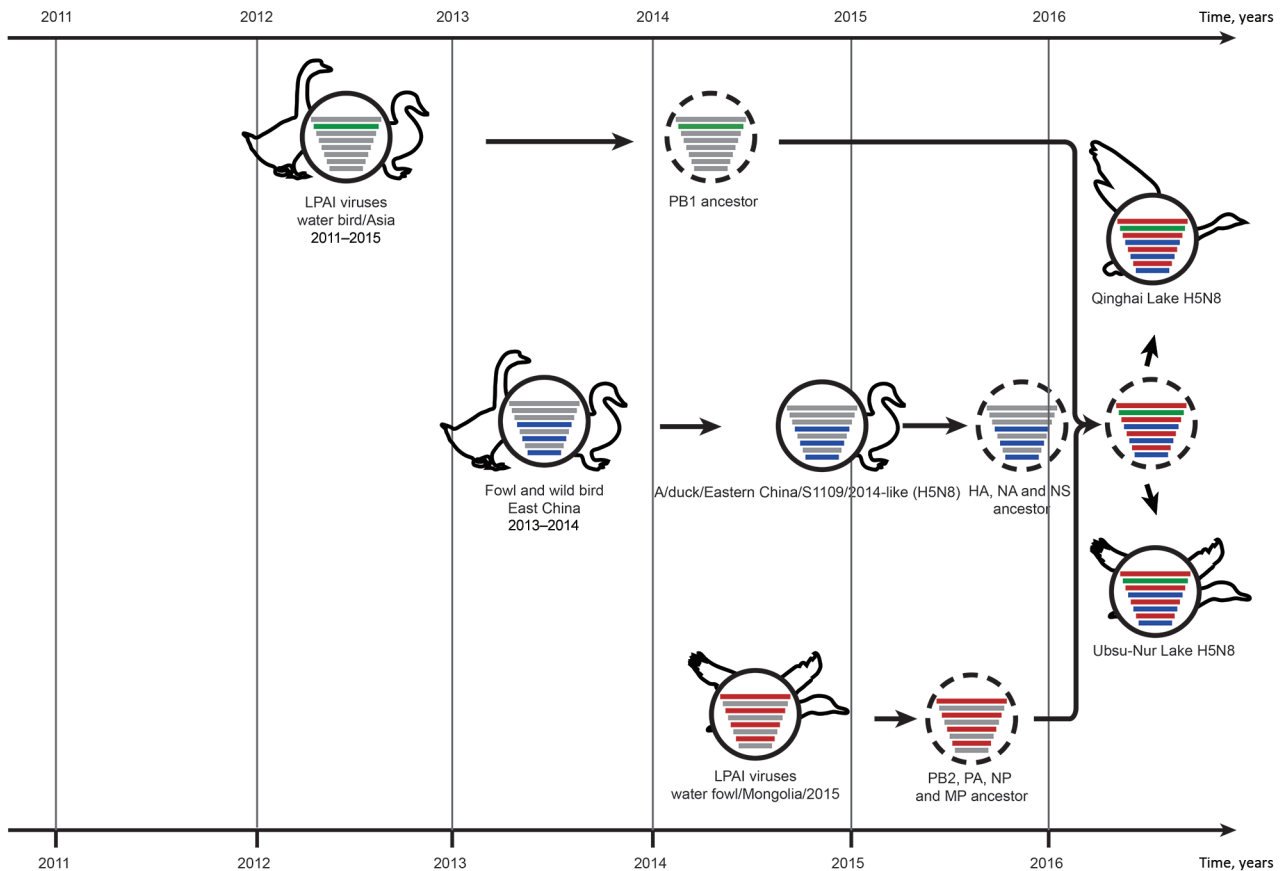
internal genes share high nucleotide identity with those of the low pathogenicity avian influenza (LPAI) viral pool in waterfowl from Mongolia and other regions (online Technical Appendix Table 1).

Phylogenetic analysis confirmed that the 8 segments had different origins. In the HA, NA, and NS phylogenetic trees, the QH-H5N8 virus clustered with H5N8 viruses isolated in late May 2016 from wild waterfowl at Ubsu-Nur Lake (UN-H5N8), forming a monophyletic cluster (Figure 1, panel A; online Technical Appendix Figure 2, panels D, F, H). Unlike the H5N8 strains previously described in South Korea in 2014–2015 (Buan2-like, group A), this cluster fell within group B (Gochang1-like) H5N8 viruses, forming a novel subgroup, intracontinental group B (icB1) (Figure 1, panel B; online Technical Appendix Figure 2, panel D). Neighbor-joining phylogenetic network analysis of the HA segment of the clade 2.3.4.4 H5 viruses also supported the finding that the QH-H5N8 and UN-H5N8 strains form a monophyletic cluster and appear to have evolved independently from group A H5N8 viruses (Figure 1, panel C).

Phylogenetic trees constructed by using sequences from the internal genes (all but polymerase basic 1 [PB1])

show that QH-H5N8 and UN-H5N8 viruses are closely related to various LPAI viruses circulating in aquatic birds in Mongolia in 2015. The PB1 gene, however, originated from various LPAI viruses dispersed across a relatively large geographic region (East and South Asia) over a long period (2010–2015) (online Technical Appendix Figure 2).

We used molecular dating to estimate the timing of the reassortment events that led to the emergence of QH-H5N8 (online Technical Appendix Figure 3). The HA, NA, and NS genes were transferred from domestic waterfowl in eastern China to wild migratory birds in approximately October 2015, January 2016, and December 2015, respectively (Figure 2; online Technical Appendix Table 2). Other internal gene segments (except PB1) originated from Mongolian waterfowl during July 2014–January 2016 (Figure 2; online Technical Appendix Table 2, Figure 3). The PB1 segment differs from the other segments, and was transferred from a LPAI virus circulating among waterfowl in Asia in February 2014 (Figure 2; online Technical Appendix Table 2, Figure 3). Thus, the generation of QH-H5N8 in wild migratory birds appears to have been a complex process and was likely completed in early 2016 (Figure 2).



**Figure 2.** Hypothetical evolutionary pathway of influenza (H5N8) viruses from Qinghai Lake, China. Gene segments are colored according to their origins. Dashed virions indicate unidentified viruses. HA, hemagglutinin; LPAI, low pathogenicity avian influenza; MP, matrix protein; NA, neuraminidase; NP, nucleoprotein; NS, nonstructural protein; PA, acidic polymerase; PB, polymerase basic.

## Conclusions

We show that a group B H5N8 virus emerged in Qinghai Lake, China, causing deaths in wild migratory birds. Phylogenetic analysis indicates that the QH-H5N8 virus is the descendant of an unidentified triple-reassortant strain (Figure 2). The reassortment event may have occurred in waterfowl, and can be traced back to early 2016. However, we cannot infer the geographic region where the reassortant virus was generated, because the gene constellation of the virus originated from different locations.

The absence of domestic poultry in the vicinity of Qinghai Lake strongly suggests that the virus was introduced to the area by wild birds. The deaths in Qinghai Lake occurred during May–June 2016, which corresponds with the breeding season for the affected species. In late May 2016, similar H5N8 strains were detected in wild migratory birds at Ubsu-Nur Lake, 1,600 km north of Qinghai Lake (9,10). This finding suggests that the early summer movement of wild migratory birds from unknown southern sites to northern breeding grounds resulted in the introduction of H5N8 to Qinghai Lake and to Ubsu-Nur Lake, infecting a diverse population of breeding waterbirds.

Currently, we know of 3 HPAI H5N1 virus clades that have been introduced to wild migratory birds in Qinghai Lake, which is located near multiple migratory flyways: clade 2.2 in 2005 (11,12), clade 2.3.2 in 2009 (13,14), and clade 2.3.2.1c in 2015 (15). On all 3 occasions, similar viruses were subsequently detected in other regions. Therefore, when wild birds left the breeding location for their wintering sites in the autumn of 2016, H5N8 virus could potentially have spread to other regions along the flyway. HPAI H5N8 viruses have already caused fatalities among wild birds or poultry in South Asia, Europe, the Middle East, and Africa (<http://www.oie.int/>) since late October 2016. Available genetic information shows that H5N8 strains isolated in other countries are highly similar to the QH-H5N8-like virus, suggesting that the QH-H5N8-like viruses may have already disseminated to other areas along the migratory flyways.

## Acknowledgment

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Mr. Li is a postgraduate student at CAS Key Laboratory of Special Pathogens and Biosafety of Chinese Academy of Sciences. His primary research interest is the epidemiology of influenza viruses.

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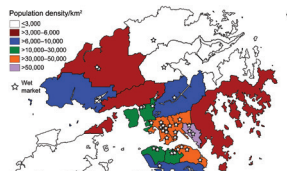
Address for correspondence: Jianjun Chen, CAS Key Laboratory of Special Pathogens and Biosafety, Wuhan Institute of Virology, Chinese Academy of Sciences, Xiaohongshan 44# Wuhan 430071, China; email: [chenjj@wh.iov.cn](mailto:chenjj@wh.iov.cn)

## December 2011: Zoonotic Infections

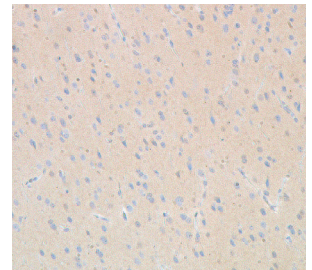
- Risk for Rabies Importation from North Africa
- Worldwide Occurrence and Impact of Human Trichinellosis, 1986–2009



- Candidate Cell Substrates, Vaccine Production, and Transmissible Spongiform Encephalopathies
- Molecular Epidemiology of Rift Valley Fever Virus
- Novel Multiplexed HIV/Simian Immunodeficiency Virus Antibody Detection Assay
- Astroviruses in Rabbits
- Host Genetic Variants and Influenza-Associated Mortality among Children and Young Adults
- Severe Human Bocavirus Infection, Germany
- Continuing Threat of Influenza (H5N1) Virus Circulation in Egypt
- Hepatitis E Virus Antibodies in Blood Donors, France
- Human Cardioviruses, Meningitis, and Sudden Infant Death Syndrome in Children
- Seroprevalence of Alkhurma and Other Hemorrhagic Fever Viruses, Saudi Arabia
- Knowledge of Avian Influenza (H5N1) among Poultry Workers, Hong Kong, China
- Risk for Human African Trypanosomiasis, Central Africa, 2000–2009
- Animal Diseases Caused by Orbiviruses, Algeria
- Genogroup I and II Picobirnaviruses in Respiratory Tracts of Pigs
- Human Liver Infection by *Amphimerus* spp. Flukes, Ecuador
- *Aedes aegypti* Mosquitoes Imported into the Netherlands, 2010
- Fatal Outbreak of *Mycoplasma capricolum* Pneumonia in Endangered Markhors
- African Swine Fever Virus Caucasus Isolate in European Wild Boars
- Novel Sylvatic Rabies Virus Variant in Endangered Golden Palm Civet, Sri Lanka
- *Rickettsia parkeri* in *Amblyomma maculatum* Ticks, North Carolina, 2009–2010



- Sealpox Virus in Marine Mammal Rehabilitation Facilities, North America, 2007–2009
- Transmission of Guanarito and Pirital Viruses among Wild Rodents, Venezuela
- Hepatitis E Virus in Rats, Los Angeles, California
- Enterovirus Co-infections and Onychomadesis after Hand, Foot, and Mouth Disease, Spain
- Experimental Infection of Horses with Hendra Virus/Australia/Horse/2008/Redlands
- Lineage and Virulence of *Streptococcus suis* Serotype 2 Isolates from North America
- West Nile Virus Infection of Birds, Mexico
- Isolation of Prion with BSE Properties from Farmed Goat



- Japanese Encephalitis Virus Genotype Replacement, Taiwan, 2009–2010
- Altitude-dependent *Bartonella quintana* Genotype C in Head Lice, Ethiopia
- Proximity to Goat Farms and *Coxiella burnetii* Seroprevalence among Pregnant Women
- Q Fever in Woolsorters, Belgium
- *Coxiella burnetii* Infection in Roe Deer during Q Fever Epidemic, the Netherlands
- Ranaviruses in Invasive Bullfrogs, Belgium
- Rift Valley and West Nile Virus Antibodies in Camels, North Africa
- Brucellosis, Taiwan, 2011
- Hemoptysis Associated with Leptospirosis Acquired in Hawaii
- *Salmonella enterica* in Pinnipeds, Chile
- Changing Perception of Avian Influenza Risk, Hong Kong, 2006–2010



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# Highly Pathogenic Avian Influenza A(H5N8) Virus in Wild Migratory Birds, Qinghai Lake, China

## Technical Appendix

### Detailed Methods

#### Phylogenetic Analysis

We downloaded all influenza A virus genomes available in GenBank and the Global Initiative on Sharing All Influenza Data (GISAID) and combined them into a single database. We queried each nucleotide sequence of strain A/Bar-headed Goose/Qinghai/BTY1-B/2016 (H5N8) against the combined database by using the blastn program in National Center for Biotechnology Information (Bethesda, MD, USA) NCBI blast 2.2.29+ with default parameters and collected sequences of the top 500 hits. Sequences without a clear subtype and collection date were removed from each segment dataset. For HA and NA segments, additional sequences from H5N8 strains not included in the blastn result were appended to cover all available H5N8 strains. The final sequence numbers of each dataset were: basic polymerase 2 (PB2), 468; basic polymerase 1 (PB1), 466; acidic polymerase (PA), 458; hemagglutinin (HA), 594; nucleoprotein (NP), 424; neuraminidase (NA), 485; matrix protein (MP), 460; nonstructural protein (NS), 425. We used Clustal Omega 1.2.1 to generate coding sequence alignments of the 8 segments. The alignment lengths for each dataset were: PB2 2,277 nt, PB1 2,271 nt, PA 2,133 nt, HA 1,704 nt, NP 1,494 nt, NA 1,410 nt, MP 964 nt, and NS 835 nt. For each dataset, we used the GTR+G nucleotide substitution model in RAxML v.8.2.6 with 1,000 bootstrap replicates for phylogenetic analysis.

#### Molecular Dating

The time of the most recent common ancestor for each segment was estimated by Bayesian Evolutionary Analysis using Sampling Trees (BEAST v 2.3.2) on a smaller dataset

composed of Qinghai Lake strains and related virus strains, with the Hasegawa-Kishino-Yano plus invariant nucleotide substitution model and a relaxed clock. All chains were run in 50,000,000 generations with 10% burn-in, and all effective sample size values in the results were greater than 200. The maximum clade credibility phylogenetic trees were generated by TreeAnnotator in the BEAST package and visualized/annotated with FigTree 1.4.2 (<http://tree.bio.ed.ac.uk/software/figtree/>).

The neighbor-joining phylogenetic network of 594 H5 HA CDS sequences was generated by the software Fluxus network 5.0 (<http://www.fluxus-engineering.com/sharenet.htm>). Due to the complexity of the network, the options frequency was set to >1, and Star Contraction and MP were applied to simplify the resulting network.

**Technical Appendix Table 1.** Sequence homologies of the whole genome of the QH-H5N8 virus, with nucleotide sequences available in GenBank

Gene*	Viruses with the highest nucleotide identity (%) to QH-H5N8	GenBank accession no.	Homology, %
PB2	A/duck/Mongolia/30/2015(H3N8)	LC121233.1	98.6
	A/duck/Mongolia/655/2015(H2N3)	LC121425.1	98.5
PB1	A/duck/Mongolia/179/2015(H3N8)	LC121306.1	98.0
	A/mallard/Sweden/101900/2009(H4N3)	CY165926.1	97.8
PA	A/muscovy duck/Vietnam/LBM529/2013(H3N8)	AB916666.1	99.0
	A/duck/Mongolia/996/2015(H3N8)	LC121467.1	98.7
HA	A/duck/Eastern China/S1109/2014(H5N8)	KP732646.1	99.1
	A/goose/Zhejiang/925037/2014(H5N8)	KU042769.1	98.4
NP	A/duck/Mongolia/129/2015(H3N3)	LC132921.1	98.8
	A/duck/Mongolia/167/2015(H3N8)	LC121293.1	98.7
NA	A/duck/Eastern China/S1109/2014(H5N8)	KP732686.1	99.4
	A/duck/Eastern China/S0215/2014(H5N8)	KP732680.1	98.9
MP	A/duck/Mongolia/179/2015(H3N8)	LC121311.1	98.5
	A/duck/Mongolia/709/2015(H10N7)	LC121439.1	98.5
NS	A/duck/Eastern China/S1109/2014(H5N8)	KP732726.1	99.3
	A/goose/Yangzhou/0420/2014(H5N8)	KT221086.2	98.8

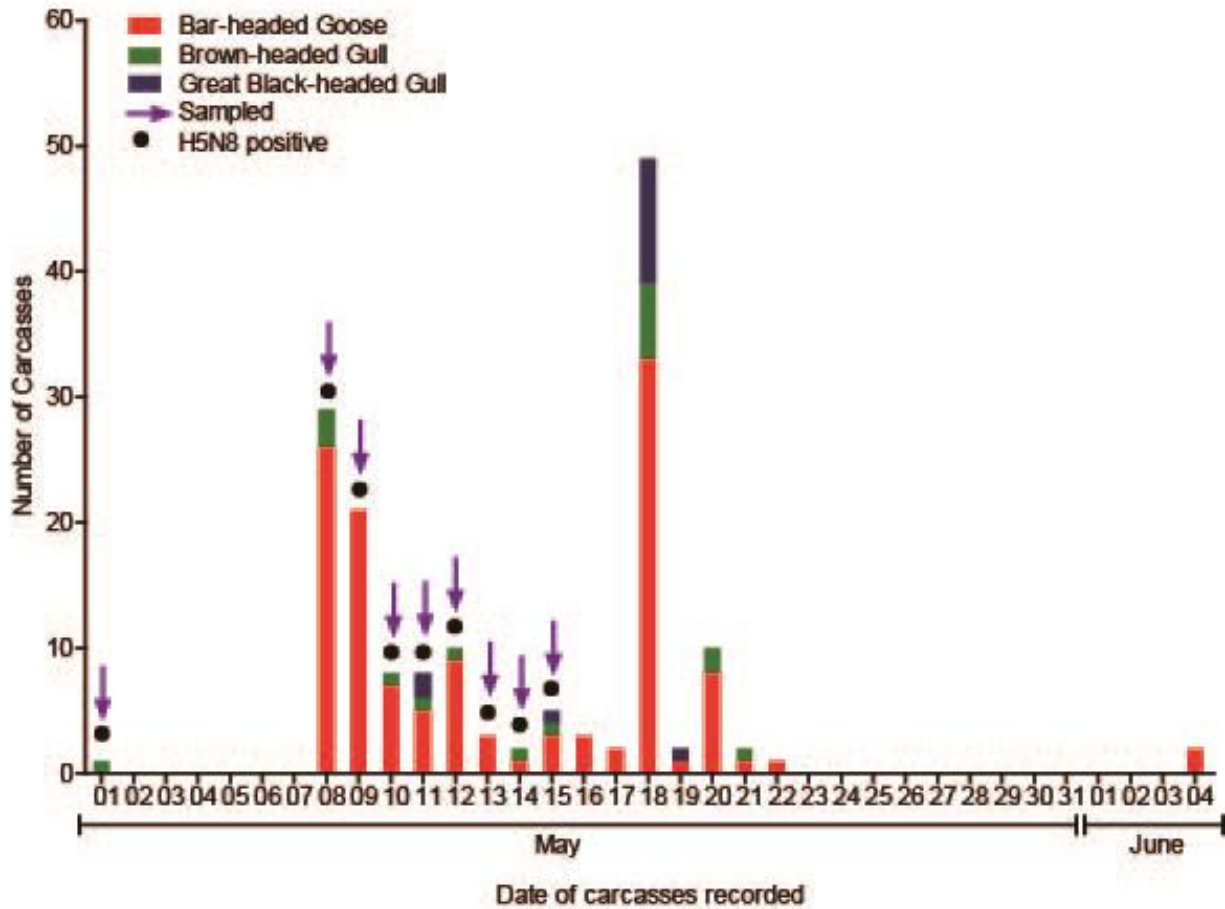
\*PB2, basic polymerase 2; PB1, basic polymerase 1; PA, acidic polymerase; HA, hemagglutinin; NP, nucleoprotein; NA, neuraminidase; MP, matrix protein; NS, nonstructural protein.

**Technical Appendix Table 2.** Most recent common ancestor (MRCA) of each segment of the QH-H5N8 virus

Segment*	MRCA†	95% highest posterior density (HPD) range
PB2	2015, Oct	Aug–Dec 2015
PB1	2014, Feb	Jan 2013–Jan 2015
PA	2015, Oct	Aug 2015–Jan 2016
HA	2015, Oct	Jul–Dec 2015
NP	2015, Jan	Jul 2014–Jul 2015
NA	2016, Jan	Oct 2015–Mar 2016
MP	2015, Sep	Jun–Dec 2015
NS	2015, Dec	Sep 2015–Mar 2016

\*PB2, basic polymerase 2; PB1, basic polymerase 1; PA, acidic polymerase; HA, hemagglutinin; NP, nucleoprotein; NA, neuraminidase; MP, matrix protein; NS, nonstructural protein.

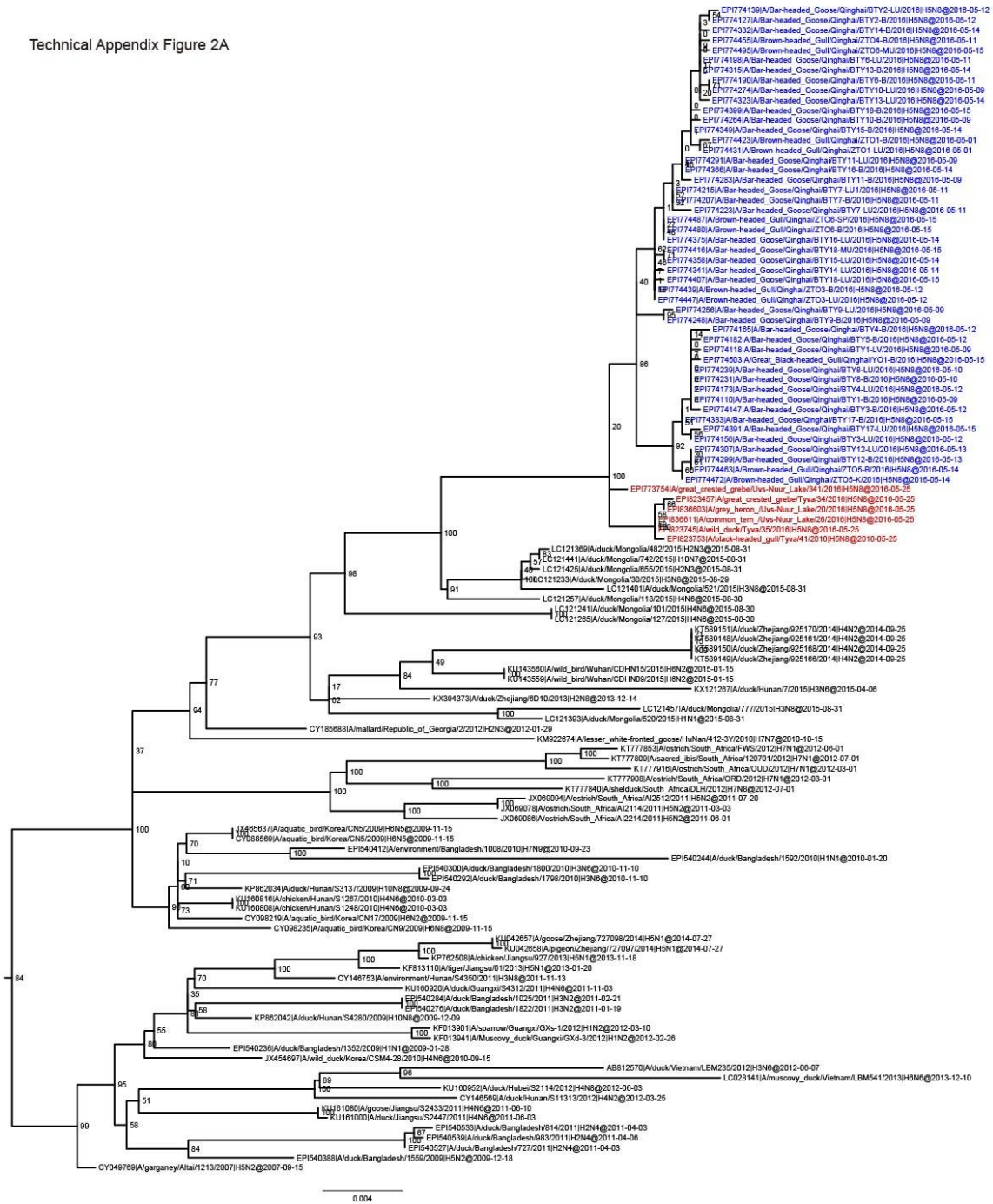
†The time to the Most Recent Common Ancestor (MRCA), is estimated by using the molecular clock theory in Bayesian Evolutionary Analysis using Sampling Trees. This parameter represents the potential existing timing of a specific internal node.



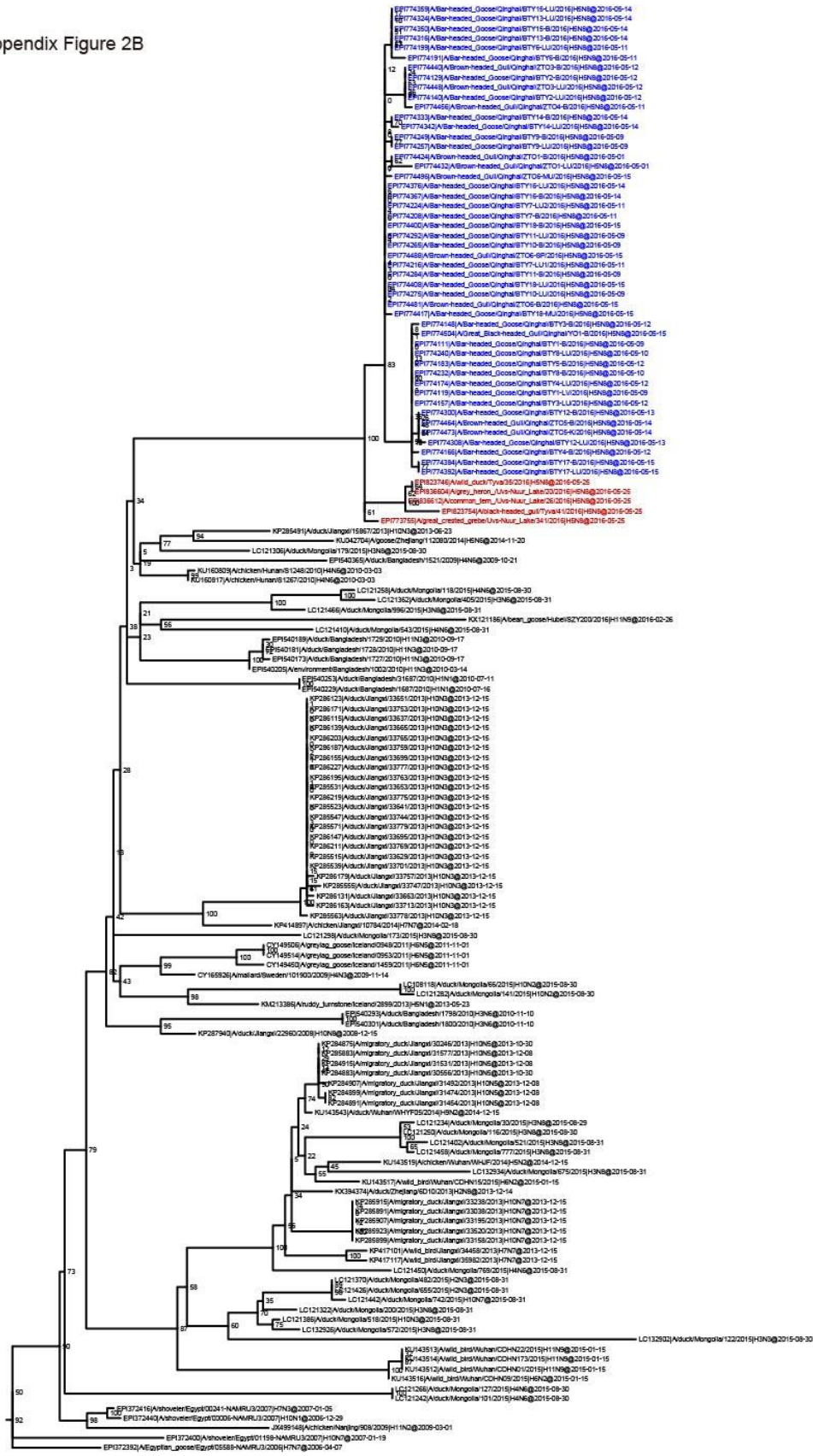
**Technical Appendix Figure 1.** Numbers and species of bird carcasses found in Qinghai Lake from May 1, 2016 to June 4, 2016. Purple arrows indicate sampling dates and black circles indicate H5N8 virus positivity.



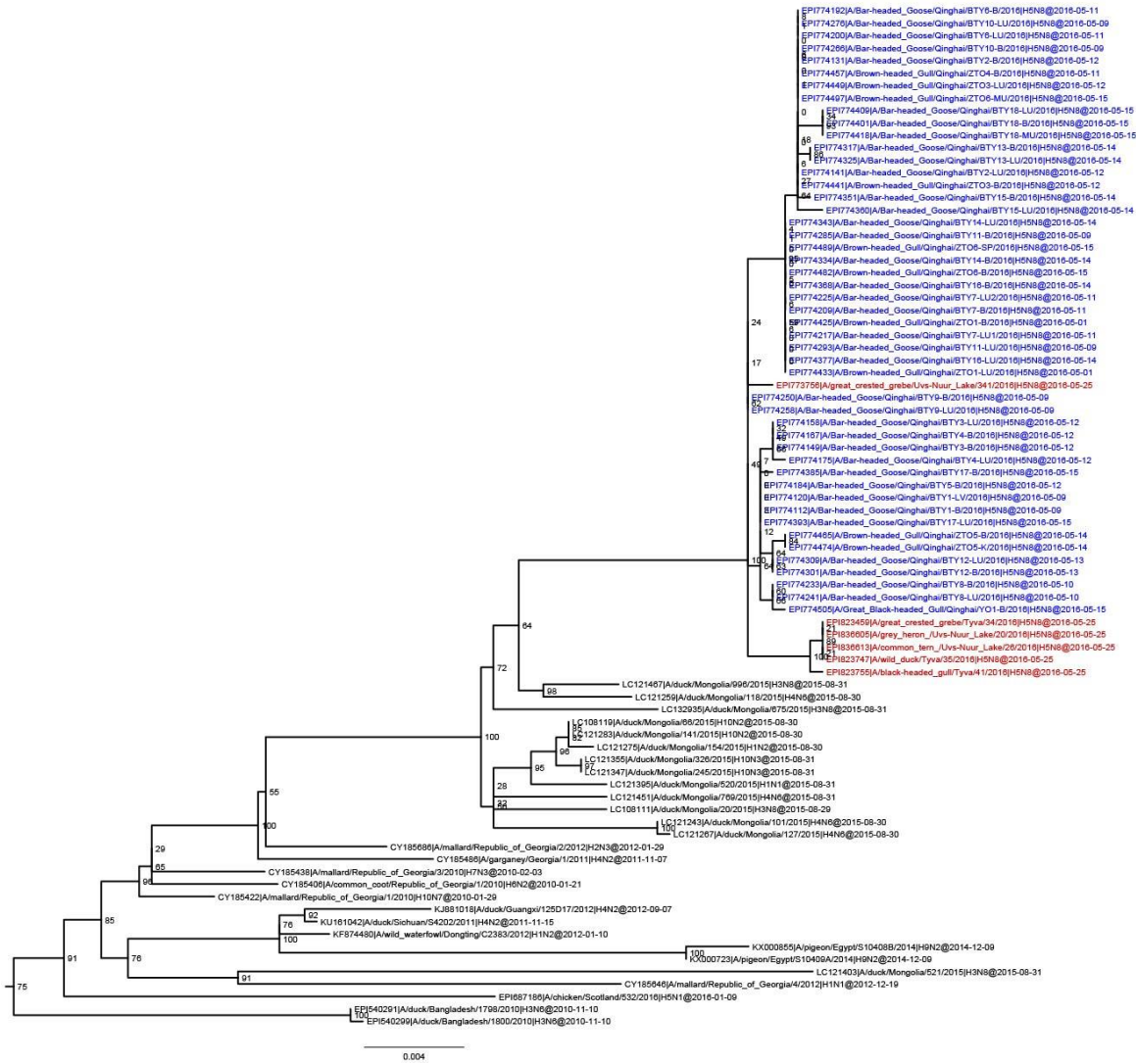
Technical Appendix Figure 2A



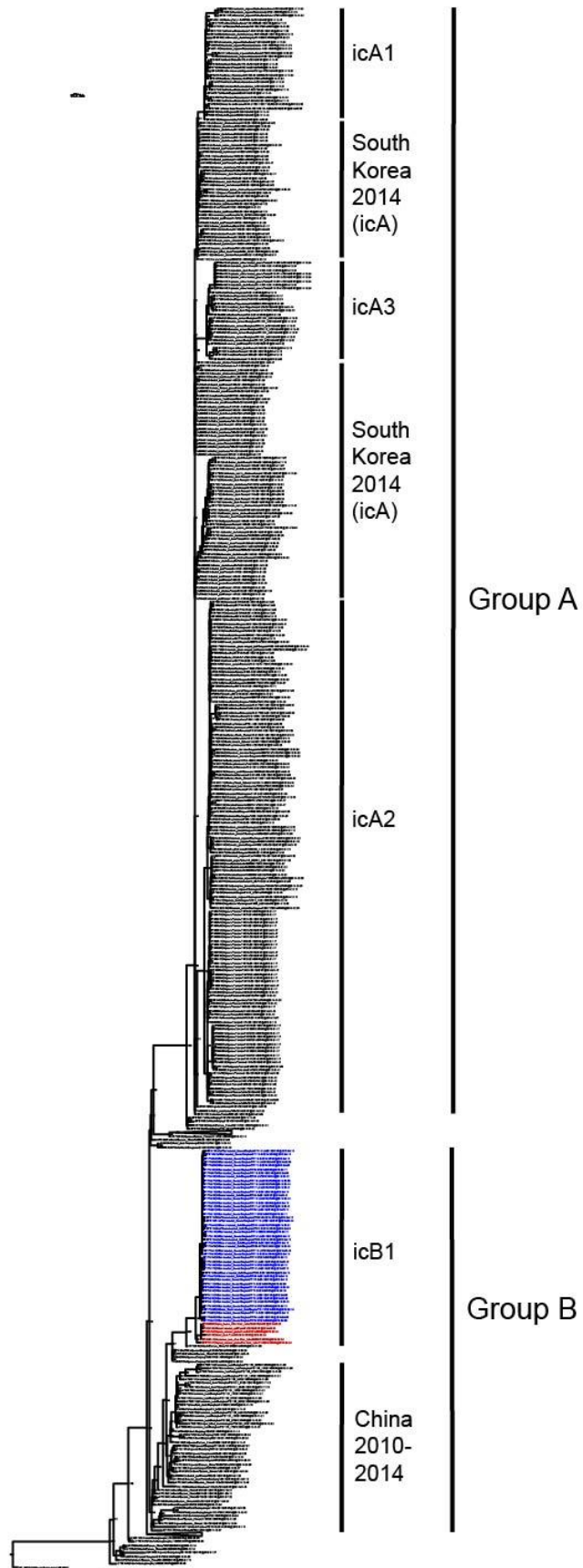
Technical Appendix Figure 2B



Technical Appendix Figure 2C



Technical Appendix Figure 2D



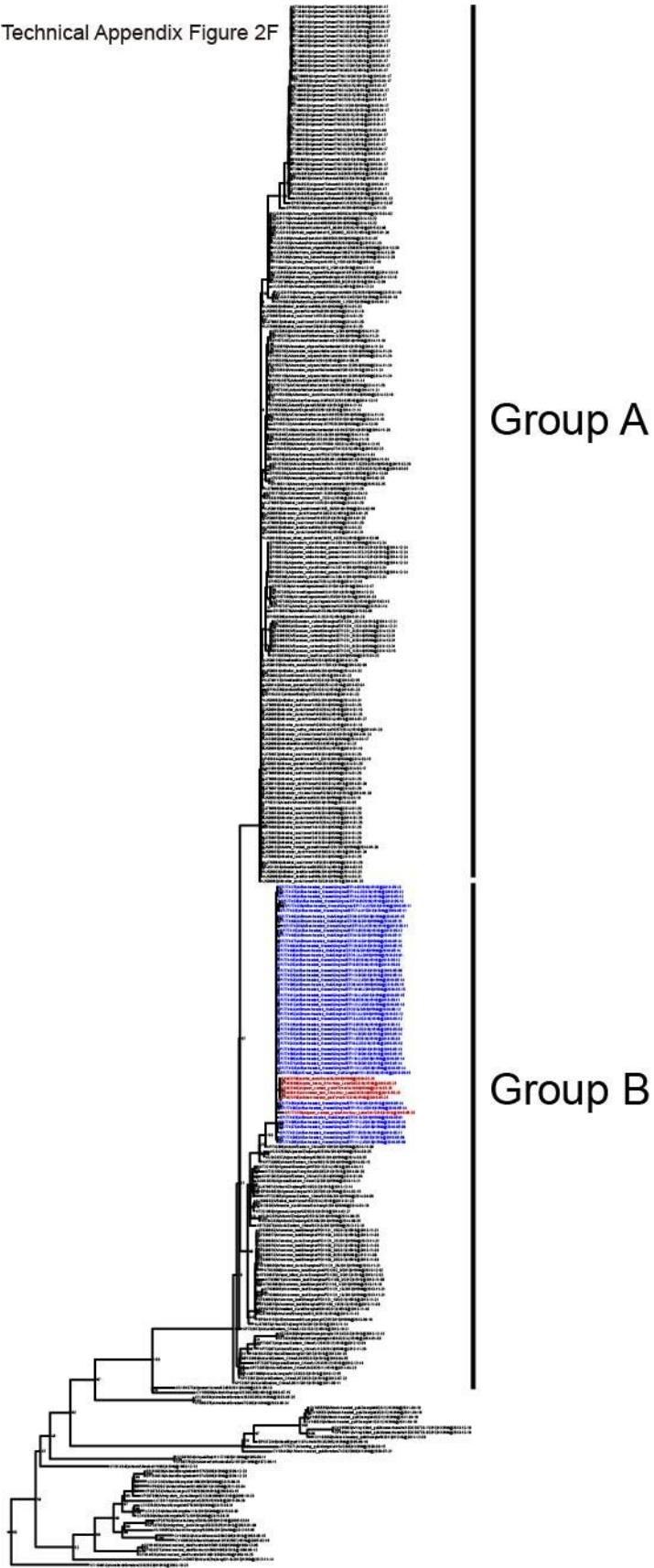


Technical Appendix Figure 2E





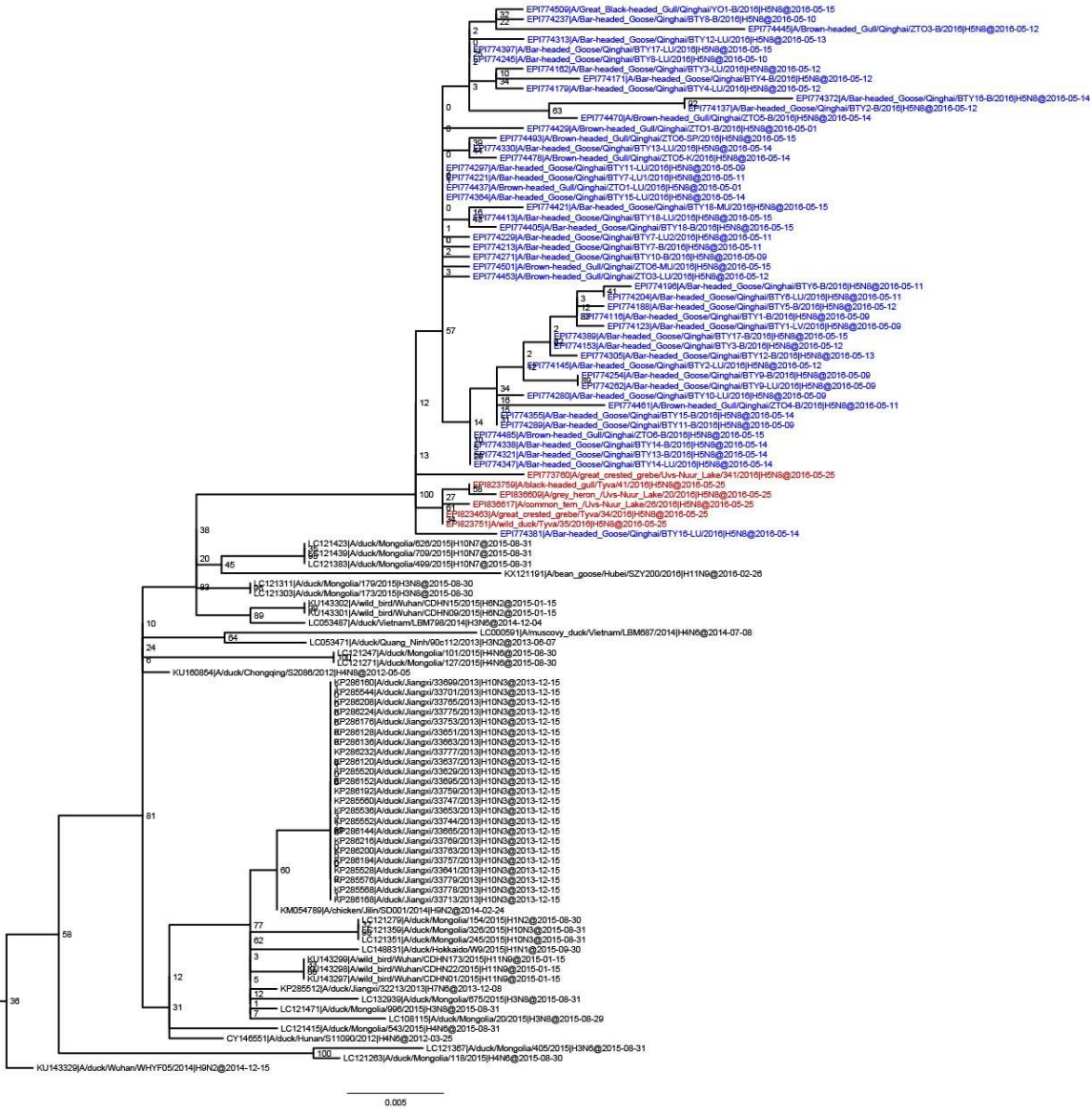
Technical Appendix Figure 2F



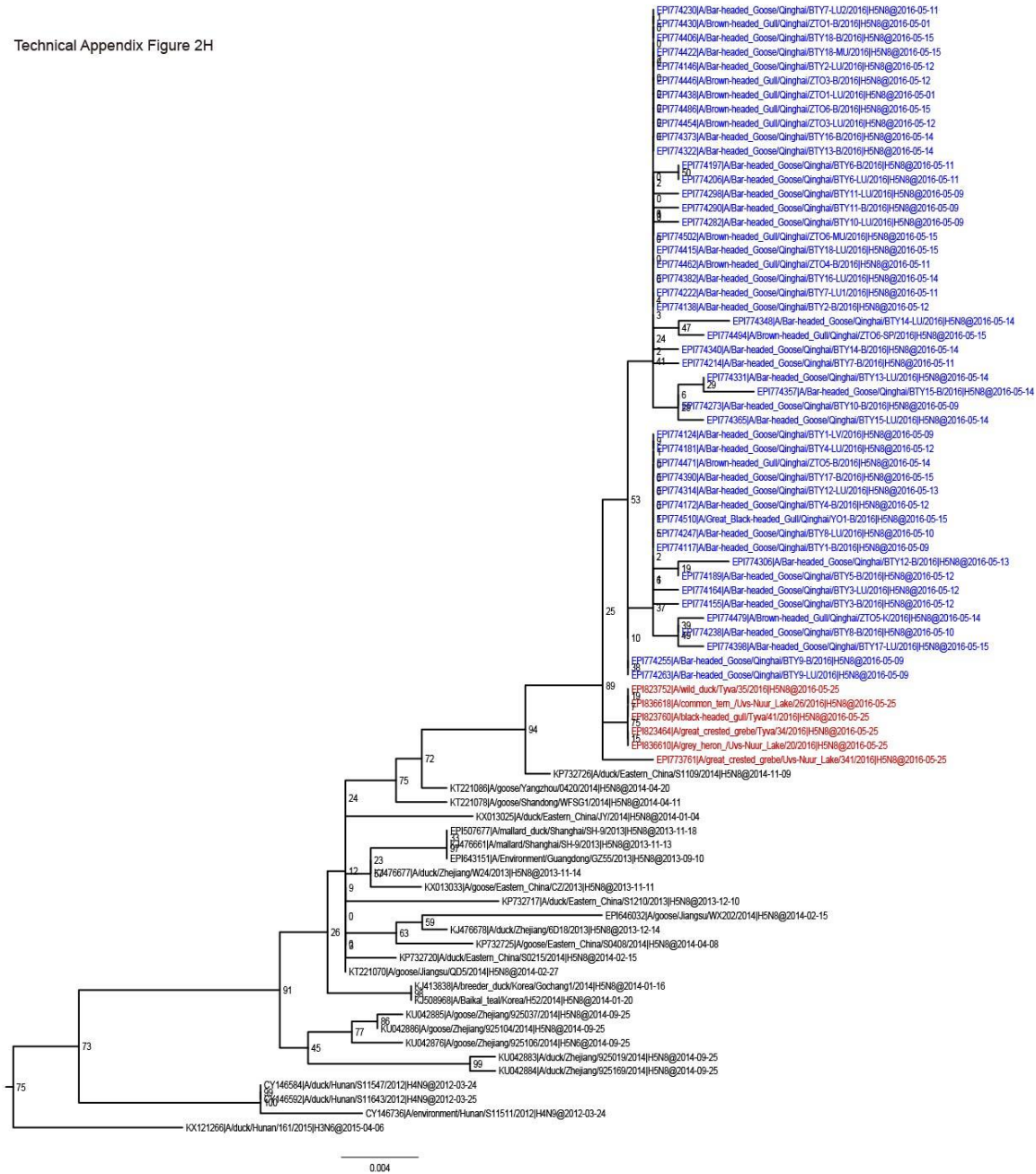
Group A

Group B

Technical Appendix Figure 2G

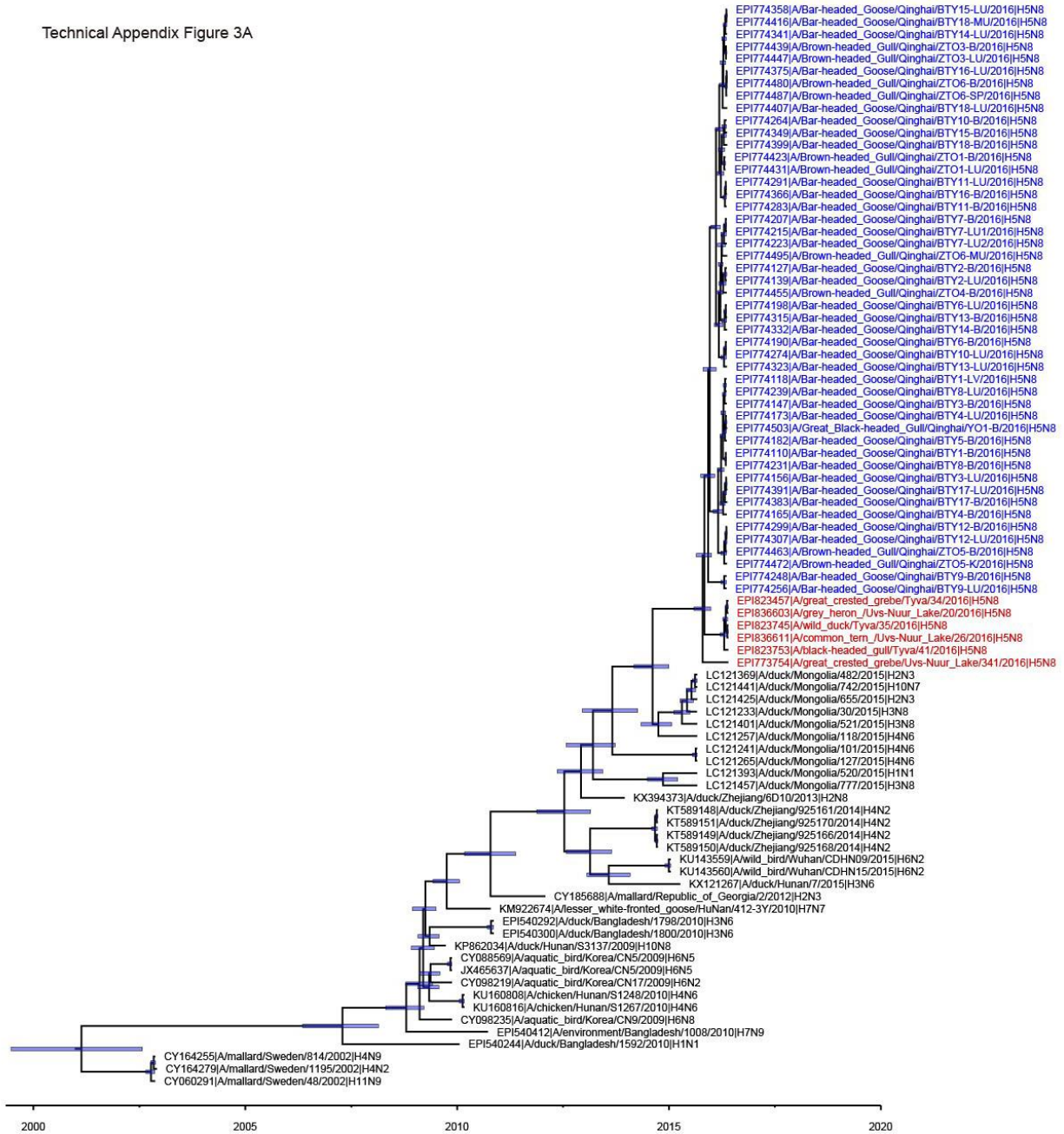


Technical Appendix Figure 2H



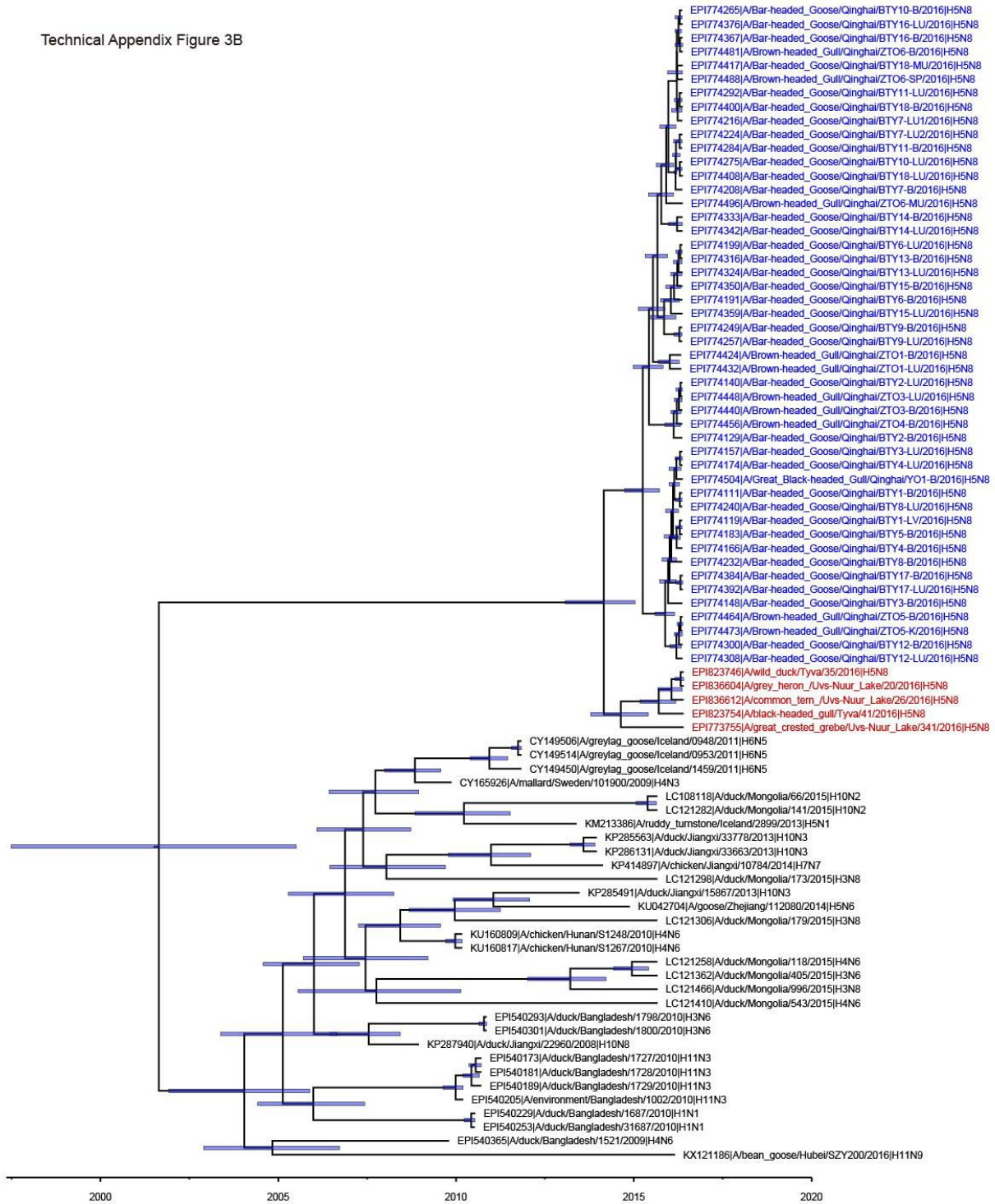
**Technical Appendix Figure 2.** Maximum-likelihood phylogenetic trees of the coding sequences of 8 segments. A) basic polymerase 2 (PB2), B) basic polymerase 1 (PB1), C) acidic polymerase (PA), D) hemagglutinin (HA), E) nucleoprotein (NP), F) neuraminidase (NA), G) matrix protein (MP), H) nonstructural protein (NS). Node labels indicate bootstrap values. Colored nodes are: blue, Qinghai Lake H5N8 strains (this study); red, Ubsu-Nur Lake H5N8 strains.

Technical Appendix Figure 3A



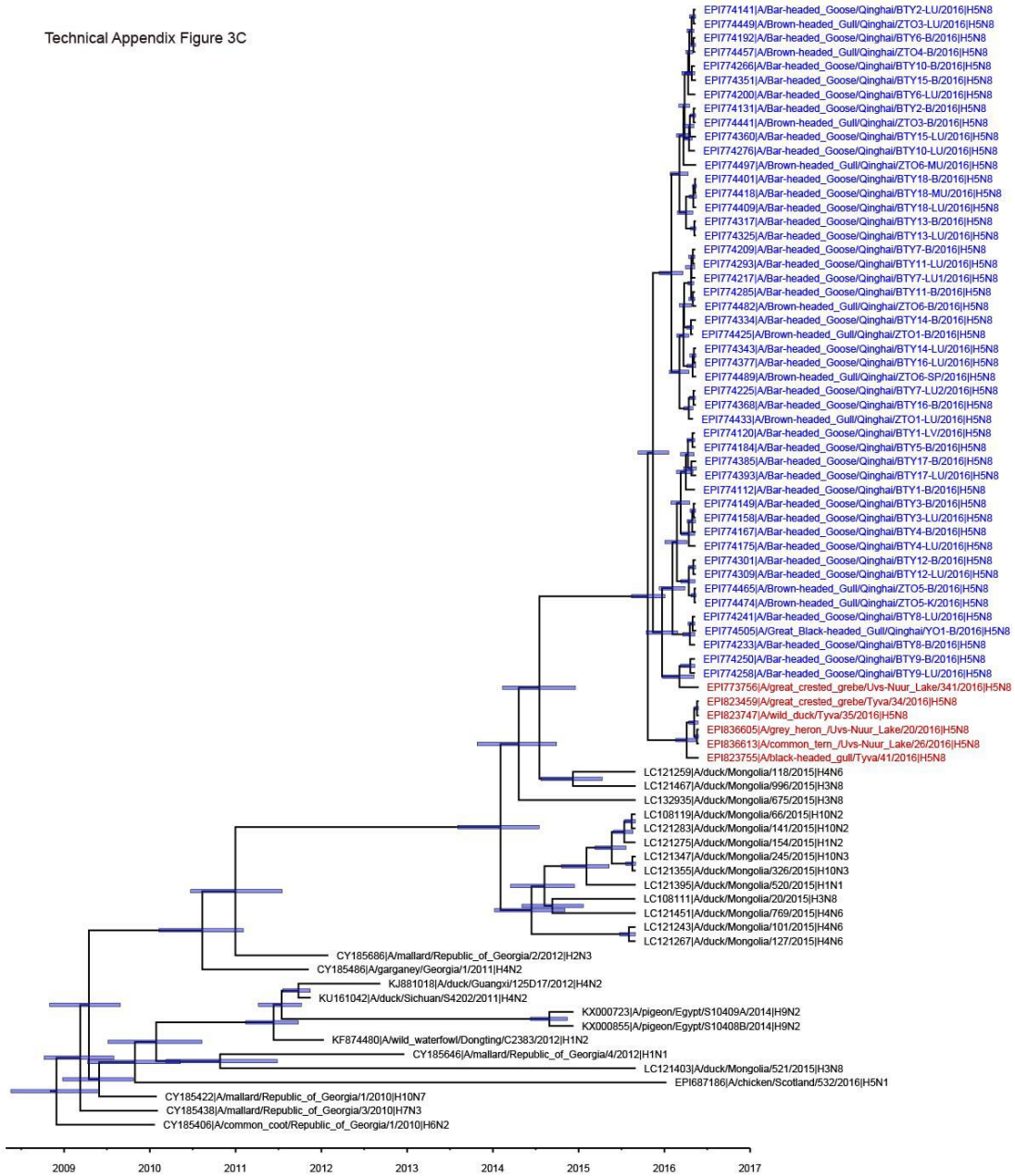


Technical Appendix Figure 3B

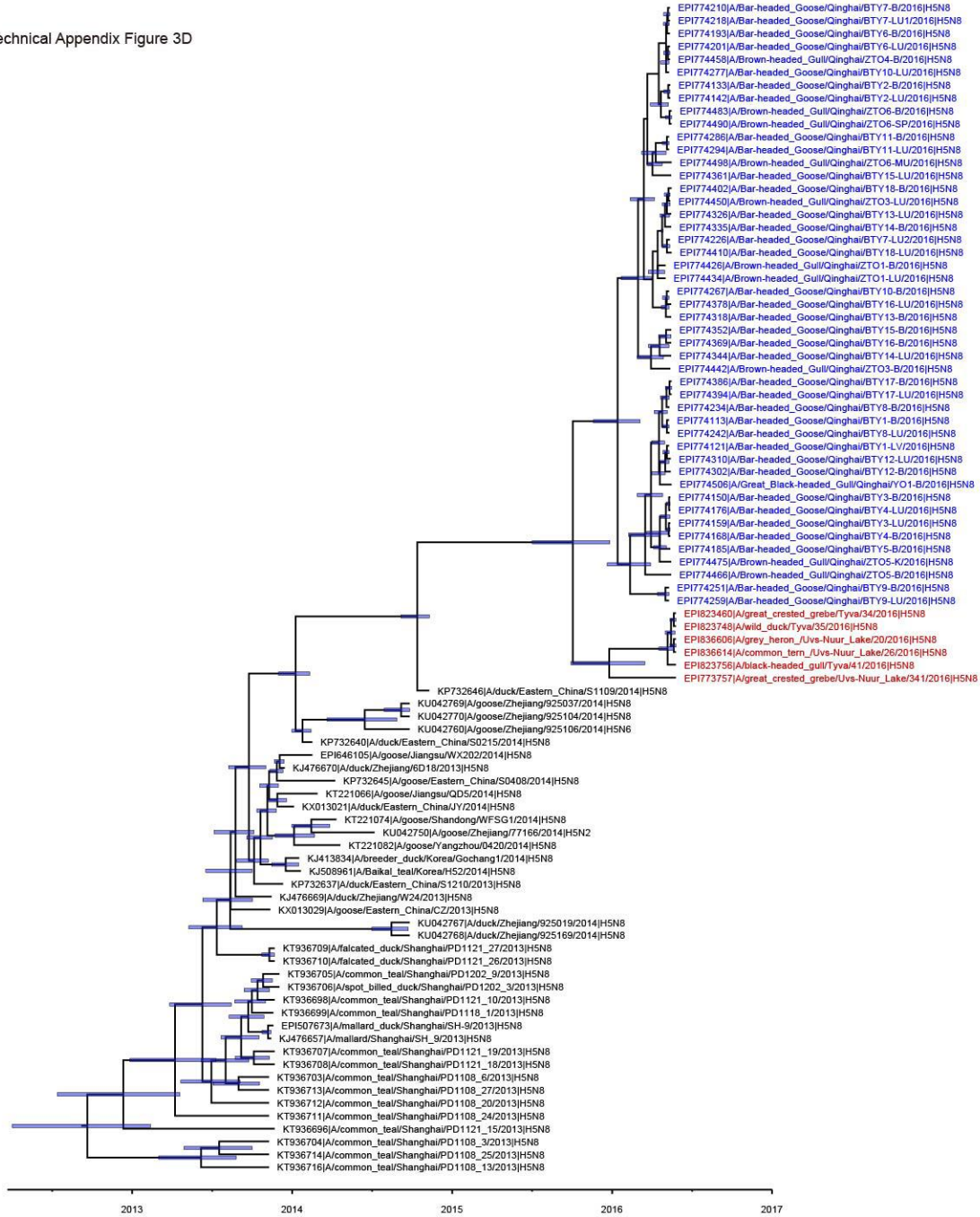




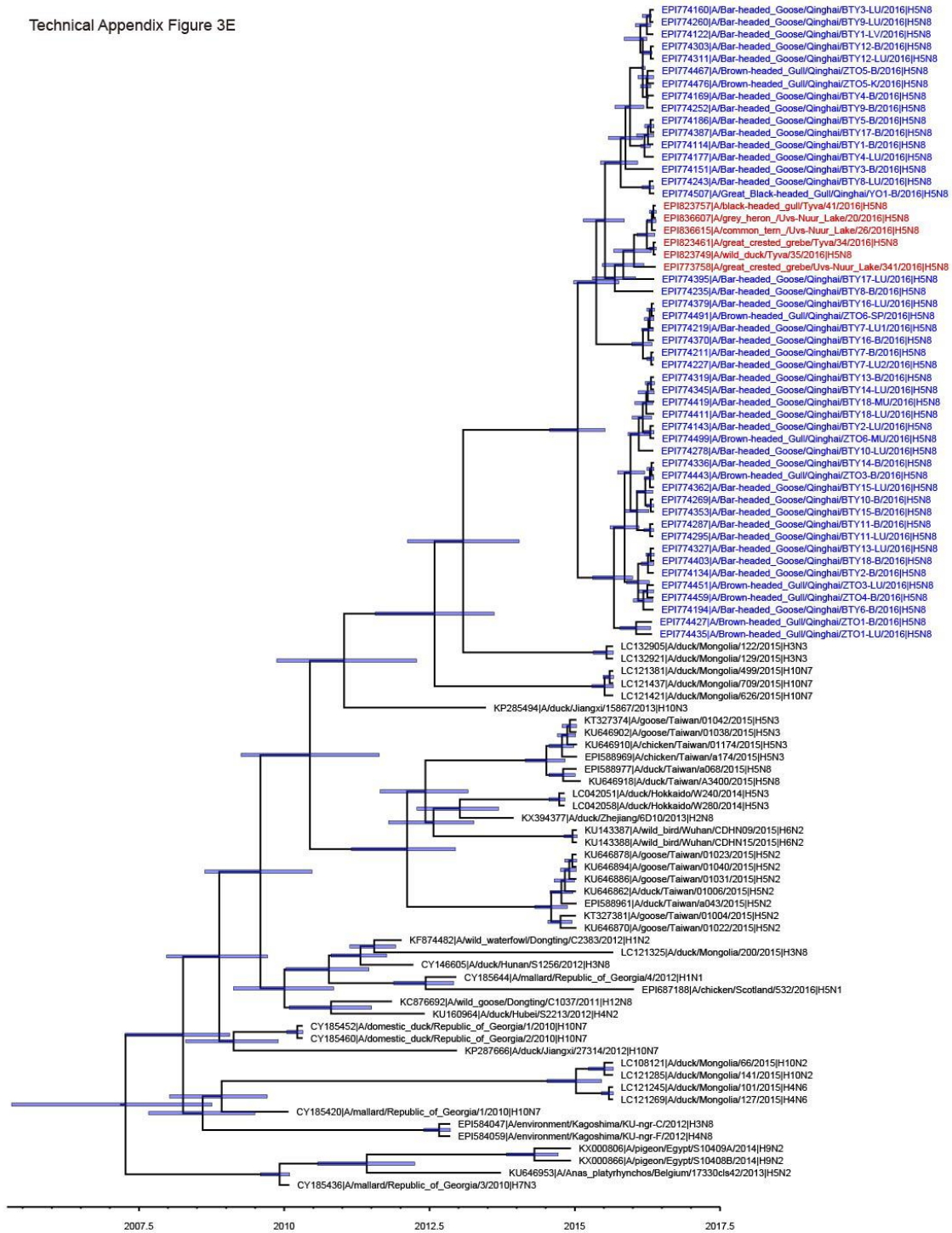
Technical Appendix Figure 3C



Technical Appendix Figure 3D

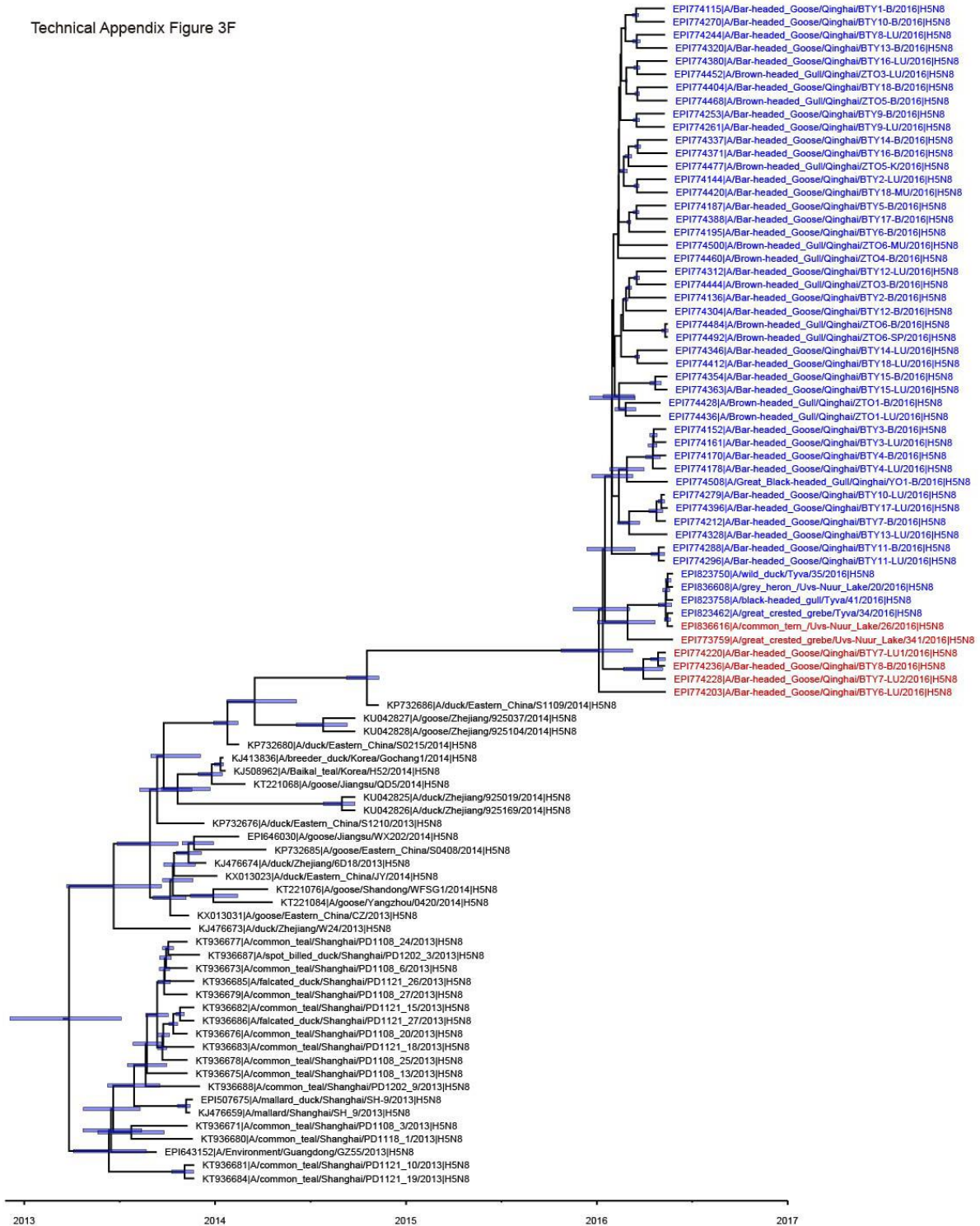


Technical Appendix Figure 3E

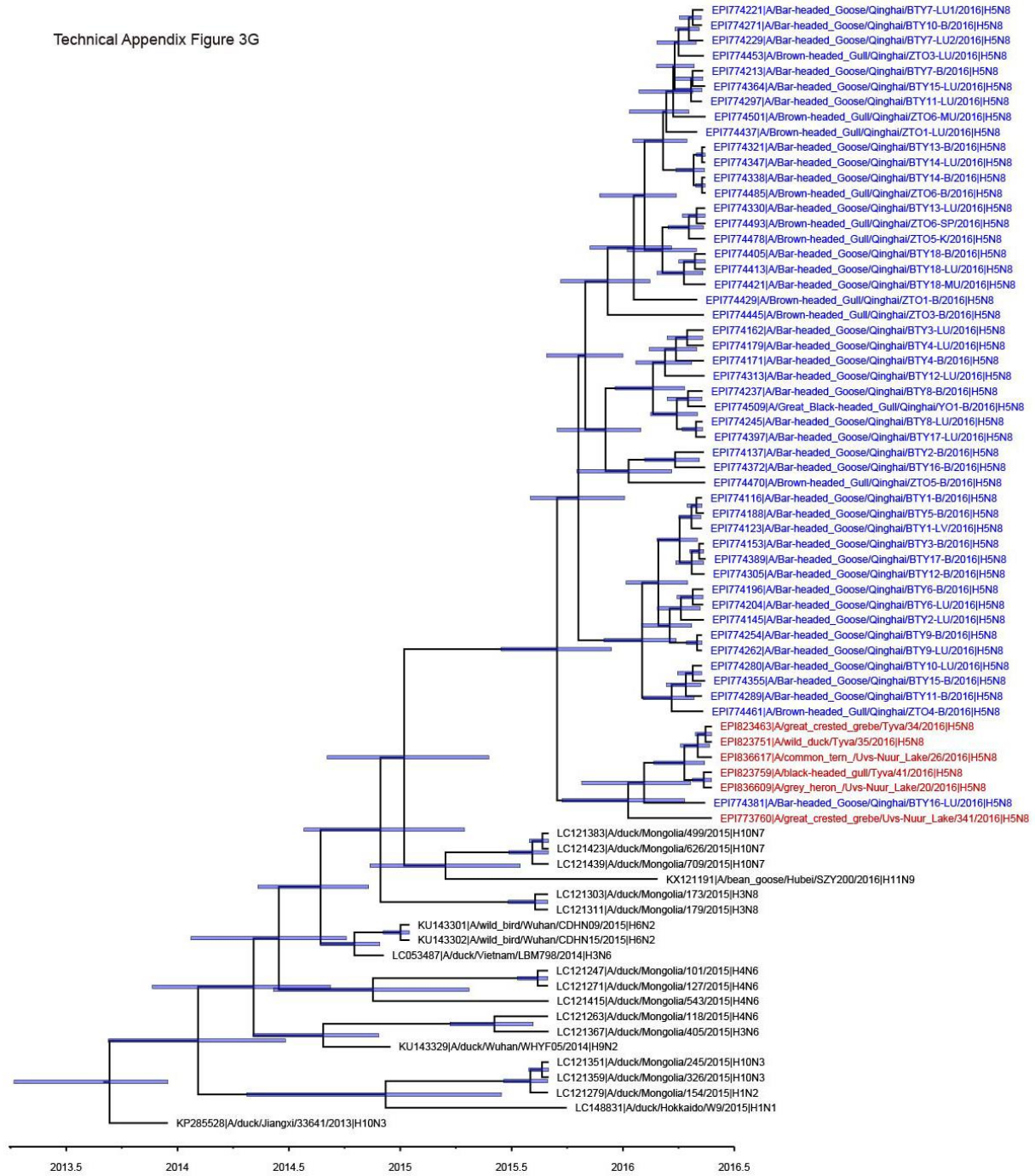




Technical Appendix Figure 3F

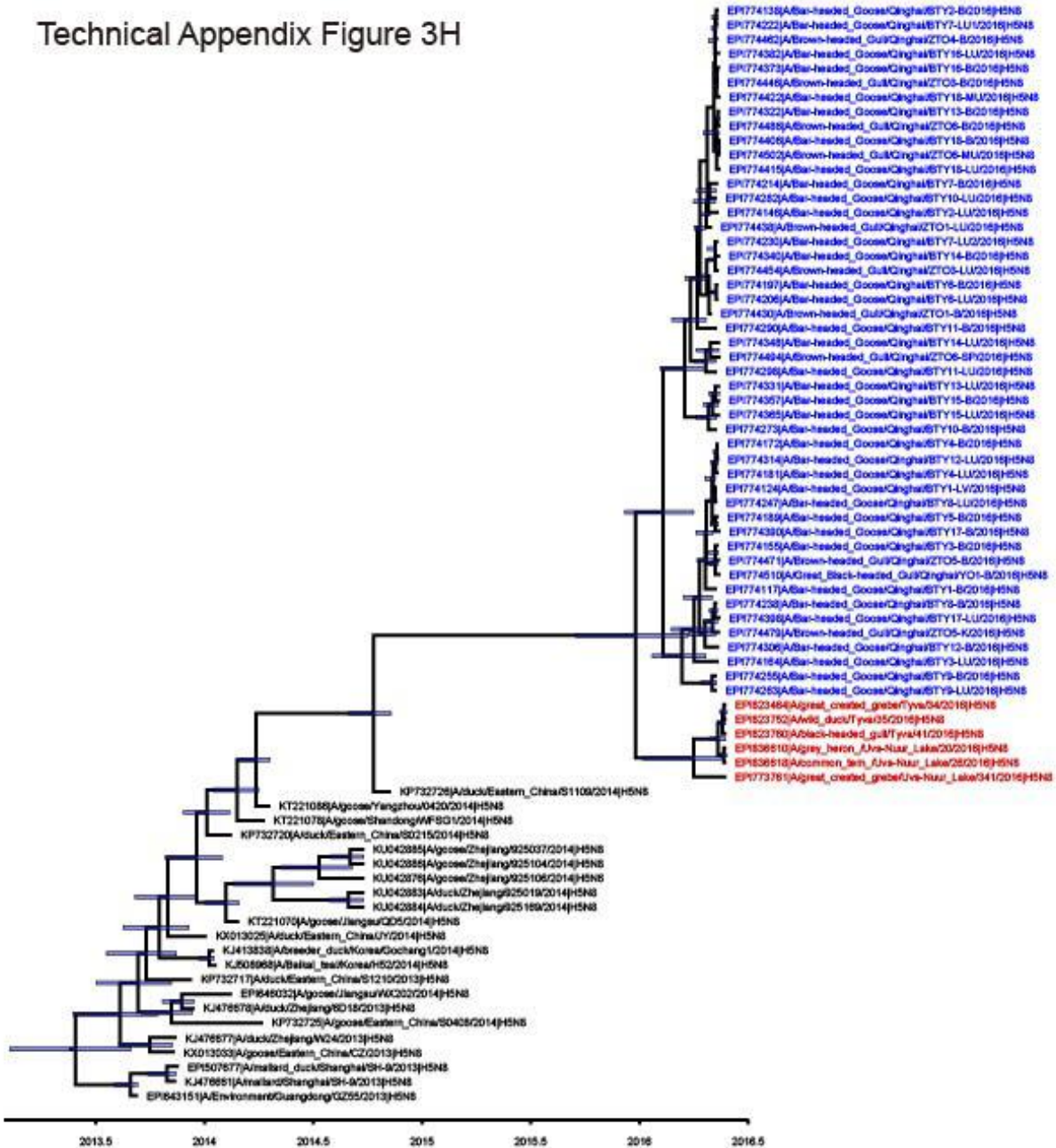


Technical Appendix Figure 3G





# Technical Appendix Figure 3H



**Technical Appendix Figure 3.** Maximum clade credibility trees of the coding sequences of 8 segments: A) basic polymerase 2 (PB2), B) basic polymerase 1 (PB1), C) acidic polymerase (PA), D) hemagglutinin (HA), E) nucleoprotein (NP), F) neuraminidase (NA), G) matrix protein (MP), H) nonstructural protein (NS). Node bars indicate 95% highest posterior density (HPD) of the node height. Colored nodes are: blue, Qinghai Lake H5N8 strains (this study); red, Ubsu-Nur Lake H5N8 strains.